CALL NO. 1

“ENHANCING ROMA INCLUSION AND EMPOWERMENT”

- OPEN CALL FOR PROPOSALS -

Launched on September 11th, 2019

Deadline for projects submission: December 18th, 2019

BUCHAREST, 2019
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Preamble
This document aims to provide the necessary information for potential applicants (Project Promoters – PP) under the call for proposals “Enhancing Roma Inclusion and Empowerment”, a call launched on September 11th, 2019, by the Romanian Social Development Fund (RSDF), under the Programme “Local Development, Poverty Reduction and Enhanced Roma Inclusion” (hereinafter referred to as the Programme).

Before filling-in your application, we recommend that you make sure you have gone through all the information presented in this document and in the Guide for Applicants, and that you have understood all the aspects related to the specificity of the projects financed by EEA and Norway Grants 2014-2021 and the manner of their implementation.

1. General information on the Programme
The Programme is financed by means of EEA and Norway Grants 2014-2021 and is implemented by the Romanian Social Development Fund - RSDF (as Programme Operator – PO) in partnership with the Norwegian Association of Local and Regional Authorities (KS), as Donor Project Partner and with the Council of Europe (CoE), as International Partner Organization.

The objectives of the EEA and Norway Grants 2014-2021 are to contribute to the reduction of economic and social disparities in the European Economic Area and to strengthen the bilateral relations between the Donor States (Iceland, Liechtenstein and Norway) and the beneficiary states by financing five priority areas:

1. Innovation, research, education and competitiveness
2. Social inclusion, youth employment and poverty reduction
3. Environment, energy, climate changes and an economy with low carbon emissions
4. Culture, civil society, good governance and fundamental rights and
5. Justice and home affairs

The Programme covers 5 of the 23 Programme areas covered by the EEA and Norway Grants 2014-2021, respectively:

- Area no 7 Roma Inclusion and Empowerment
- Area no 8 Children and Youth at Risk
- Area no 10 Local Development and Poverty Reduction
- Area no 16 Good Governance, Accountable Institutions, Transparency
- Area no 17 Human Rights –National Implementation

The overall objective of the Programme is to actively contribute to strengthening the economic and social cohesion at national and local level in Romania and to strengthening the bilateral relations with the Donor States. In this respect, the PO facilitates and encourages the establishment of partnerships between entities from Romania and the Donor States, i.e Iceland, Liechtenstein and Norway.

The Programme has a total value of **82,352,941 Euro**, out of which:

- 25,000,000 Euro financing from EEA Grants 2014-2021

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1 An indicative list of documents is presented at the end of this call text, as well as the addresses of the web pages where these documents can be consulted.
- 45,000,000 Euro financing from Norway Grants 2014-2021
- 12,352,941 Euro financing from the Romanian state budget (15% co-financing)

During the implementation of the Programme, the principles of cost-effectiveness, transparency and responsibility in the management of the funds should be observed to the highest degree, and also the principles of good governance, sustainability of results, ensuring equal opportunities and of gender, must be observed. All the projects shall apply the values and fundamental principles of the European Union and the Council of Europe (e.g.: respect for human dignity, freedom, democracy, equality, respect for the rule of law and human rights, including the rights of persons belonging to minorities etc.). The Programme will be conducted in accordance with applicable national and European law, as well as the specific rules applicable to the EEA and Norway Grants 2014-2021.

2. Open call for proposals “Enhancing Roma Inclusion and Empowerment”

This call for project proposals falls under the programme area no. 7 “Roma Inclusion and Empowerment” and contributes to the achievement of the programme outcome “enhanced inclusion and empowerment of Roma” in Romania.

2.1 Objectives of the call for proposals

The call shall support projects that will contribute to an enhanced inclusion and empowerment of Roma in Romania and that will be in line with the following 10 Common Basic Principles on Roma Inclusion:

- **Constructive, pragmatic and non-discriminatory projects.** Projects aiming at the inclusion of Roma people shall respect and realise the core values of the European Union, which include human rights and dignity, non-discrimination and equality of opportunity as well as economic development. The projects shall be integrated with mainstream policies, particularly in the fields of education, employment, social affairs, housing and health, as well as non-discrimination and antigypsyism.

- **Explicit but not exclusive targeting.** This approach implies focusing on Roma people as a target group without excluding others who live under similar socio-economic conditions.

- **Inter-cultural approach.** Through this approach, projects shall provide the majority population with tools and competences to help them understand the Roma culture, and the Roma with tools and competences to understand mainstream culture. As a result, promoting mutual understanding helps tackle prejudice on both sides.

- **Aiming for the mainstream.** Projects will contribute to including Roma people in the mainstream of society (mainstream educational institutions, mainstream jobs, mainstream housing). Thus, projects will avoid measures that risk strengthening segregation or even creating new forms of segregation and will actively support de-segregation.

- **Awareness of the gender dimension.** Roma inclusion projects shall take account of the needs and circumstances of Roma women, who suffer disadvantages such as limited access to employment, education, health and social services, often being victims of double discrimination: on the grounds of gender and ethnic origin.

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2 The 10 Common Basic Principles on Roma Inclusion have been presented for the first time at the meeting of the European Platform for Roma inclusion in Prague on 24 April 2009. On 8 June 2009, the EU Council of Ministers in charge of Social Affairs annexed the Principles to their conclusions and invited Member States and the Commission to take them into account. Available at: https://publications.europa.eu/en/publication-detail/-/publication/7573706d-e7c4-4ece-ae59-2b361246a7b0/language-en
Transfer of evidence-based policies. Projects will support exchange of good practices between various stakeholders from Romania and from the Donor States (Iceland, Liechtenstein and Norway).

Use of EU instruments. Projects shall support and promote the EU legislation supporting Roma inclusion (such as Racial Equality Directive, Framework Decision on Racism and Xenophobia).

Involvement of regional and local authorities. Projects shall be designed, implemented and evaluated in partnership with authorities and public entities at local or regional level, as they are essential for Roma inclusion.

Involvement of civil society. Nongovernmental organisations (NGOs) in general and Roma NGOs and networks, in particular, have a valuable expertise to offer, adding value to the design, implementation and evaluation of projects.

Active participation of the Roma. Roma involvement must take place in projects through the input of expertise from Roma experts and civil servants, as well as by consultation with a range of Roma stakeholders in the design, implementation and evaluation of projects.

Projects funded under the present call shall contribute to meeting one or more of the following objectives of the call for proposals:

- **Objective no 1 (service provision):** Increasing the access and quality of sectorial or integrated services for Roma, in the following sectors: social assistance, education, employment, health and housing.

- **Objective no 2 (existing centres):** Consolidating the capacity of existent educational / after school / day care centres working with a high percentage of Roma children and youth, with priority of those previously funded under the RO10 Programme „Children and Youth at Risk and Local and Regional Initiatives to Reduce National Inequalities and to Promote Social Inclusion“, funded by EEA Grants 2009-2014 (Small grant scheme “Local”).

  Projects will aim at supporting existing functional centres, by adding value to the quality of serviced provided to the target groups, in order to increase their impact and to strengthen sustainability. A minimum of 5 centres will have to be targeted in each project.

- **Objective no 3 (empowerment):** Empowering Roma people, especially Roma women, youth, experts and leaders.

  In the framework of the present call, empowerment of Roma people is considered as the process of expansion of their assets and capabilities both at individual level (health, education, housing etc) and at collective level (ability to organise and mobilise to take collective action for solving their problems) in order to participate in, negotiate with, influence, control and hold accountable institutions that affect their lives.

- **Objective no 4 (anti-discrimination):** Combating all forms of discrimination of Roma people. The call will support projects that will promote respect of rights of Roma people, fight against anti-Gypsysm, stereotypes and harassment, at the same time increasing the awareness on intercultural approaches (collecting and promoting experiences on groups of Roma, cultures, languages etc).

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Based on the objectives of the call addressed, three types of projects are eligible for funding, corresponding to three thematic pre-allocations (thematic funding streams):

- **Pre-allocation A: Service provision projects**, that shall mandatorily address Call Objective no 1 (service provision) and may choose optionally to target Call Objectives no 3 (empowerment) and no 4 (anti-discrimination). Projects targeting at least one of the optional Objectives no 3 and 4 will distinctly receive points in evaluation.

- **Pre-allocation B: Capacity building projects for existing educational / after school / day care centres** working with a high percentage of Roma children and youth, addressing mandatorily and exclusively Call Objectives no 2 (existing centres), no 3 (empowerment) and no 4 (anti-discrimination).

- **Pre-allocation C: Empowerment projects**, addressing mandatorily Call Objective no 3 (empowerment). Projects targeting the optional Objective no 4 (anti-discrimination) will distinctly receive points in evaluation.

The eligible activities under each thematic pre-allocation and the amounts allocated per each pre-allocation are described in the relevant sections of the present document.

Applicants will specify in the project proposal the pre-allocation of the call under which they apply and will consequently design the project so that to ensure eligibility and relevance to the proposal, in relation with the (1) call objectives, (2) programme indicators and (3) activities specified in the Call (please carefully design your project taking into account the minimum requirements provided in sections 2.3 and 2.4).

### 2.2 Expected results at call level

The projects funded under this call shall contribute to achieving the following programme results:

<table>
<thead>
<tr>
<th>No</th>
<th>Expected programme results</th>
<th>Indicator</th>
<th>Unit of measurement</th>
<th>Source of verification</th>
<th>Target value at call level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Outcome 1</td>
<td><strong>Enhanced inclusion and empowerment of Roma</strong></td>
<td>IP02 Number of Roma individuals with ID documents secured (during project implementation, data will be reported disaggregated by gender)</td>
<td>Number</td>
<td>PP's records</td>
<td>100</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP04 Number of Roma that have received education services (during project implementation, data will be reported disaggregated by gender)</td>
<td>Number</td>
<td>PP's records</td>
<td>7000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP05 Number of Roma that have received employment services (during project implementation, data will be reported disaggregated by gender)</td>
<td>Number</td>
<td>PP's records</td>
<td>400</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP06 Number of Roma that have received health services (during project implementation, data will be reported disaggregated by gender)</td>
<td>Number</td>
<td>PP's records</td>
<td>600</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP07 Number of Roma that have received housing services (during project implementation, data will be reported disaggregated by gender)</td>
<td>Number</td>
<td>PP's records</td>
<td>100</td>
</tr>
<tr>
<td>No</td>
<td>Expected programme results</td>
<td>Indicator</td>
<td>Unit of measurement</td>
<td>Source of verification</td>
<td>Target value at call level</td>
</tr>
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<tr>
<td></td>
<td></td>
<td>IP08 Number of Roma that have received integrated(^4) services (during project implementation, data will be reported disaggregated by gender)</td>
<td>Number</td>
<td>PP’s records</td>
<td>4500</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP03 Number of Roma reached by empowerment measures(^5)</td>
<td>Number</td>
<td>PP’s records</td>
<td>8000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP01 Level of acceptance by majority population (within the project intervention areas) of Roma</td>
<td>Scale 1-10</td>
<td>Survey results (surveys made by PPs)</td>
<td>(+10%)</td>
</tr>
<tr>
<td></td>
<td>Output 1.1 Social inclusion services provided to Roma</td>
<td>IP09 Number of counties where social inclusion services are provided to Roma</td>
<td>Number</td>
<td>PP’s records</td>
<td>25</td>
</tr>
<tr>
<td></td>
<td>Output 1.2 Awareness raising campaigns on Roma inclusion conducted</td>
<td>IP10 Number of awareness raising campaigns targeting majority population</td>
<td>Number</td>
<td>PP’s records, Audio/video/print material produced as part of the campaign</td>
<td>10</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP11 Number of awareness raising campaigns targeting Roma</td>
<td>Number</td>
<td>PP’s records, Audio/video/print material produced as part of the campaign</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP12 Number of people reached by awareness raising campaigns (during project implementation, data will be reported disaggregated by Roma)</td>
<td>Number</td>
<td>PP’s records</td>
<td>7500</td>
</tr>
</tbody>
</table>

\(^4\) “Integrated services” implies that at least two types of services were provided to the same person in combination. This indicator is NOT the sum of all the service indicators for outcome 1.

\(^5\) Double counting with beneficiaries of services provided in the project (if the case) should be strictly avoided. Roma beneficiaries of services should be included in the programme indicators IP02, IP04-IP08 (see EEA Grants Core indicators 2014-2021, available at [https://eeagrants.org/content/download/13378/187695/version/4/file/Core+Indicators+Guidance+FM14-21.pdf](https://eeagrants.org/content/download/13378/187695/version/4/file/Core+Indicators+Guidance+FM14-21.pdf))
<table>
<thead>
<tr>
<th>No</th>
<th>Expected programme results</th>
<th>Indicator</th>
<th>Unit of measurement</th>
<th>Source of verification</th>
<th>Target value at call level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output 1.3</td>
<td>Professional s working with Roma trained</td>
<td>IP13 Number of professionals trained in working with Roma (during project implementation, data will be reported disaggregated by gender, Roma)</td>
<td>Number</td>
<td>List of training participants</td>
<td>1000</td>
</tr>
<tr>
<td>Output 1.4</td>
<td>Roma experts and leaders trained to defend Roma rights</td>
<td>IP14 Number of Roma experts and leaders trained to defend Roma rights (during project implementation, data will be reported disaggregated by gender)</td>
<td>Number</td>
<td>List of training participants</td>
<td>600</td>
</tr>
<tr>
<td>Output 1.5</td>
<td>Anti-discrimination tools developed to be applied in working with Roma</td>
<td>IP15 Number of anti-discrimination tools developed</td>
<td>Number</td>
<td>PP’s records</td>
<td>5</td>
</tr>
<tr>
<td>Bilateral outcome</td>
<td>Enhanced collaboration between Beneficiary and Donor States entities involved in the programme</td>
<td>IP54 Level of satisfaction with the partnership (data reported disaggregated by Beneficiary State, Donor State)</td>
<td>Scale 1-7</td>
<td>Survey results made by FMO</td>
<td>≥ 4.5, and an increase in the baseline</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP55 Level of trust between cooperating entities in Beneficiary State and Donor States (data reported disaggregated by Beneficiary State, Donor State)</td>
<td>Scale 1-7</td>
<td>Survey results made by FMO</td>
<td>≥ 4.5, and an increase in the baseline</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP56 Number of letters of intent on future collaboration</td>
<td>Number</td>
<td>PP’s records</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IP57 Share of cooperating organizations that apply the knowledge acquired from bilateral partnership (data reported disaggregated by Beneficiary State, Donor State)</td>
<td>Percentage</td>
<td>Survey results made by FMO</td>
<td>60%</td>
</tr>
</tbody>
</table>
### Bilateral output 1

**Exchanges**\(^6\) between Beneficiary and Donor States entities supported

**IP58** Number of participants from Beneficiary States in exchanges\(^7\) (data reported disaggregated by gender, Donor State)

<table>
<thead>
<tr>
<th>Number</th>
<th>List of participants</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td></td>
</tr>
</tbody>
</table>

### Bilateral output 2

**Professional exchanges with representatives of relevant entities from Romania and Donor States supported**

**IP59** Number of participants from Donors States involved in exchanges\(^8\) (data reported disaggregated by gender, Donor State)

<table>
<thead>
<tr>
<th>Number</th>
<th>List of participants</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
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</tr>
</tbody>
</table>

Information on the methodology for measuring the programme result indicators (outcome și outputs) is presented in the Guide for Applicants.

#### 2.3 Programme indicators and targets at project level

Depending on the mandatory objective(s) of the pre-allocation under which each project is submitted (see section 2.1), the proposal must ensure reaching a series of mandatory indicators and minimum targets, as indicated in Annex 1 Overview of programme indicators and targets at project level, which represents an eligibility criterion.

In case of projects submitted under Pre-allocation B, aiming to consolidate the capacity of existent educational / after school / day care centres working with a high percentage of Roma children and youth (at least 2/3), a minimum 5 centres must be targeted by each project. This also represents an eligibility criterion which, upon failing to be met, results in the exclusion of the project in the formal verification stage.

Projects submitted under preallocations A and C may obtain distinct points in evaluation in one or more of the following situations:

- if, for the mandatory objective, they commit to meet all the optional indicators and targets;
- if they choose to address at least one optional objective, committing to meet all the mandatory indicators and targets of the respective optional objective;
- if they commit to meet all the corresponding optional indicators and targets for the optional objective(s) addressed.

Projects submitted under preallocation B may obtain distinct points in evaluation if they target more than the minimum 5 existent educational / after school / day care centres working with a high percentage of Roma children and youth.

\(^6\) See the provisions of the FMO Guide “Core indicators 2014-2021” regarding the programmes/activities considered to be “exchanges”.

\(^7\) Individuals from Beneficiary State who participated in exchange programmes/activities between the Beneficiary State and a Donor State and completed such programmes/activities.

\(^8\) Individuals from Donors States (Iceland, Liechtenstein and Norway) who participated in exchange programmes/activities between the Donor State and the Beneficiary State and completed such programmes/activities.
Specific conditions in the implementation area, relevance, community consultation

Project services and activities have to be adapted to the existing specific conditions in the implementation area, to take into account the provisions of European, national, regional and micro-regional/local strategic documents relevant for the Roma inclusion field and to be developed in a participatory and integrated manner, with the aim to achieve concrete results at the level of the final beneficiaries, both during the project implementation and on medium and long term (depending on the size and complexity of the project).

In particular, projects submitted under Pre-allocation A of the call, aiming to provide social inclusion services at local level, must justify the relevance of the proposed services and, if the case, investment, by identifying in the project proposal the existing strategic documents (local development strategies and action plans of Local Action Groups, action plans developed under other various programmes and initiatives, Romania’s Government Strategy for Inclusion of Roma etc) that support the necessity and opportunity of the respective services and investment for the needs of the target groups.

All projects will promote the compliance with the principles of „Involvement of local and regional authorities”, „Involvement of civil society” and „Active participation of the Roma” in a way that will demonstrate the involvement of the relevant stakeholders (members of target groups, Roma organisations and networks, academics, public authorities at community level etc) in the selection of the proposed project services/activities and, if the case, investment, which will provide transparency to the decision-making process and will increase the accountability of the local authorities. In this regard, partners will organize a process of community consultation on the purpose of the project and proposed activities. Details regarding the minimum requirements for community consultation are provided in the Guide for Applicants.

The contribution of each project to achieving the expected results must be proportional with the requested grant, the average cost per beneficiary from the target groups being estimated at 785 Euro for projects under Pre-allocation A, 950 Euro for projects under Pre-allocation B and 465 Euro for projects under Pre-allocation C.

In determining the number of project beneficiaries, the PP shall take into account that the project must have a reasonable balance between the expected results and the estimated costs, aspect which will be considered in evaluation. Therefore, it is recommended that PP pursues a proper balance between the number and complexity of interventions, the type/complexity and the length of an intervention for the same beneficiary, the expected impact, in correlation with the requested budget and the contribution to the expected results of the Programme.

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9 See the 10 Common Basic Principles on Roma Inclusion, previously referred to in section 2.1 Objectives of the call for proposals
10 Taking into account the present call’s estimated number of beneficiaries and the amounts allocated per each pre-allocation, an average cost per beneficiary can be calculated. Projects under Pre-allocation A, contributing mandatorily to Objective 1 (service provision), have a financial allocation of 10.000.000 Euro and a total target of 12.700 beneficiaries under IP02, IP04-08, resulting an average cost per beneficiary of approx 785 Euro. Projects under Pre-allocation B, contributing to Objective 2 (existing centres), Objective 3 (empowerment) and Objective 4 (anti-discrimination) have a financial allocation of 3.000.000 Euro and a minimal target of 3.150 beneficiaries under IP13, IP03 and IP14, resulting an average cost per beneficiary of approx 950 Euro. Similarly, Projects under Pre-allocation C, contributing to Objective 3 (empowerment) have a financial allocation of 3.000.000 Euro and a minimal target of 6.450 beneficiaries under IP03, IP13 and IP14, resulting an average cost per beneficiary of approx 465 Euro.
2.4 Eligibility of target groups and activities

Depending on the thematic pre-allocation under which each project is submitted (A, B or C), the targeted call objective(s) and corresponding mandatory indicators (see sections 2.1 and 2.3), each project shall address a series of mandatory and optional target groups and activities.

General provisions regarding the target groups

Priority is given to:

- projects implemented in rural or urban disadvantaged areas with over 30% of Roma population\(^\text{11}\), identified as such in a specific statement issued by the local authority from each implementation area;

- projects that address a need at national level, if the case.

These projects will receive distinct points in evaluation. Details are provided in the Guide for Applicants.

In line with the principle of “explicit, but not exclusive targeting”, projects funded under the call will focus on Roma people as target group, but may also address the needs of non-Roma vulnerable persons, provided that (1) they live under similar socio-economic conditions and (2) the number of Roma beneficiaries of the project is at least 2/3 of the total number of beneficiaries. By exception, given their specificity, beneficiaries of empowerment activities carried out under Objective no 3 (empowerment) will consist exclusively of Roma persons.

The belonging of a target group member to Roma ethnicity is determined based on the self-identification method and will be reported in implementation based on a standard statement issued by the respective person (or by parents/tutors in case of minors).

General provisions regarding the activities. Respect of legislation. Avoiding double funding

PP will describe in the project proposal the criteria and the selection process of the persons composing the target groups, in compliance with the equal opportunities principle.

In terms of activities, it is recommended that partners develop the project proposals in a consultative manner, with potential beneficiaries and stakeholders, aspect which will be described in the application.

Depending on their specificity, each activity/service provided in the project will comply with the applicable legislation regarding accreditation/licensing/authorisation of its provider/premises, responsibility which belongs to the providing institution. Meeting this criterion is an eligibility condition for project costs before the project closure.

In activities and methodologies, projects will valorize potential sinergies with other EEA and Norway Grants 2014-2021 Programmes, in particular with Programme “European Public Health Challenges” (Programme Operator – Ministry of Health) and Programme “Active Citizens Fund” (Fund Operator – Civil Society Development Foundation), at the same time preventing any overlap.

Under this call, in order to avoid overlapping and double funding with other similar initiatives, there will not be eligible activities that have already been or are going to be carried out through other projects (financed from structural funds or other national non-reimbursable funds, EEA and Norwegian etc) be it under implementation at the time of grant application submission or at the time of contracting the project (if the grant is awarded)\(^\text{12}\).

\(^\text{11}\) Percentage calculated in relation with the total population of the locality (SIRUTA code, level 3), in case of rural localities or in relation with the neighbourhood, in case of cities/towns.

\(^\text{12}\) The estimated date for contracting is the first semester of 2020.
More over, in order to avoid double funding, there are not eligible, under the present call, activities which fall under the PP/partners obligation to ensure sustainability of projects previously funded under RO10 Programme „Children and Youth at Risk and Local and Regional Initiatives to Reduce National Inequalities and to Promote Social Inclusion”, funded by EEA Grants 2009-2014. Therefore, even projects submitted under Pre-allocation B (existing centres) must ensure that they do not request funding for the same activities previously funded under the RO10 Programme (for which they must ensure sustainability), but rather they propose different activities, in line with the call objectives for Pre-allocation B.

Also, there are not eligible activities promoting political parties and activities that directly or indirectly promote a religious doctrine, mission or proselytism related to the beliefs of a particular faith.

These conditions shall be confirmed by the PP at the time of the grant application submission under this call by signing a specific Statement and also at the time of contracting, when the PP will have to sign this Statement once more.

If the project is funded and such breaches are acknowledged by the PO during project implementation, the respective activities shall be declared retrospectively ineligible.
Thematic Pre-allocation A - Service provision projects

Target groups:

Mandatory target groups under mandatory Objective no 1 Increasing the access and quality of sectorial or integrated services for Roma (both target groups for each project):

- Roma persons and/or families (children, youth and adults) in vulnerable situations, at-risk or facing various forms of social-economic exclusion – minimum 425 persons per project

  In implementation, persons will be eligible as target group if they are recipients of various social benefits or if other documents are provided (social inquiry, unemployment certificate etc) issued by a specialist (working in the project or from a third party) certifying the vulnerability situation and the needs as a basis for provision of services in the project. It is encouraged the provision of integrated services to individuals and focusing the intervention on families in vulnerable situations.

- Specialists/volunteers from the fields of education, health, employment, social services, public administration, NGOs etc involved in the provision of services to Roma persons – minimum 10 persons per project

Optional target groups under optional Objective no 3 Empowering Roma people:

- Roma persons (adults, youth or children), especially Roma women and youth, with low levels of awareness regarding their rights and participation in community life

- Roma experts and leaders - persons acting as individuals or in NGOs, action groups, community groups, public authorities and institutions, trade unions, pupil or student organisations, political parties, youth organisations or other associative types, persons in formal or informal positions of leadership in relation with the Roma persons and/or families in vulnerable situations.

  In order to receive distinct points in evaluation, projects must target minimum 150 Roma persons with low levels of awareness regarding their rights and participation in community life who will benefit from empowerment activities and minimum 45 Roma experts and leaders trained to defend Roma rights.

Optional target groups under optional Objective no 4 Combating all forms of discrimination of Roma people:

- Community members belonging to the majority population, living in the project implementation areas, as subjects of awareness campaign(s).

- Specialists/volunteers from the fields of education, health, employment, social services, public administration, NGOs etc involved in the provision of services to Roma persons.

  In order to receive distinct points in evaluation, projects must target minimum 500 community members as subjects of the awareness campaign(s).

Activities:

Projects submitted under this pre-allocation shall mandatorily include activities/services provided to the target group Roma persons and/or families in vulnerable situations from minimum 2 of the following main categories (contributing to Call Objective no 1 - service provision):

Activity 1: Support for obtaining identity documents

- Legal assistance for obtaining valid identity documents (birth certificate, identity card or equivalent) and other documents necessary as a prerequisite for obtaining these.
Activity 2: Education services for Roma persons in vulnerable situations

- Activities for increasing the access and participation of children (aged 0-6) to quality and inclusive early education and care (ISCED 0): establishment/development of local services (creche, kindergarten, day centre etc), provision of support measures etc;

- Activities for stimulating school participation and preventing dropout/early school leaving in primary and secondary education (ISCED 1-3), as well as for school reintegration, such as: school mediation, educational and vocational counselling and guidance; literacy, remedial activities, “school after school” type activities, "second chance" type activities, tutoring and mentoring programmes etc;

- Non-formal education and leisure activities aiming to support participation in education of Roma persons in vulnerable situations: preventing school dropout/early school leaving through sport, creativity activities, excursions and thematic camps etc;

- Incentives for increasing the motivation and mobilisation of persons to participate in project activities (non-financial benefits for beneficiaries to participate in certain activities, ensuring transport expenses for attending school and non-formal education activities etc);

- Support for participation in post-secondary (including vocational and technical education) and university-level education of Roma persons in vulnerable situations (such as educational counselling, tutoring and mentoring programmes, mobility support for participation in education);

- Other types of formal, non-formal and informal education activities and support measures for Roma children, youth and adults in vulnerable situations conducting to the increase of the project impact.

Activity 3: Employment services

- Vocational evaluation, information and counselling (including support in CV preparation and sending job applications);

- Evaluation and certification of competences acquired in non-formal and informal contexts;

- Vocational training courses, including mobility costs for participation (transport, accommodation, meals). The courses must be provided exclusively by legal persons authorised under G.O. 129/2000 and must be finalised with qualification or completion certificates (as the case may be) with national recognition.

- Mediation for job placement and job retention etc.

Activity 4: Health services

- Provision of primary healthcare services in the project (including dental care services);

- Health mediation, activities for promoting and facilitating the respect of basic hygiene rules (establishing public baths, community laundry rooms) and healthy nutrition; vaccination campaigns, family planning etc.

Activity 5: Improvement of housing conditions

The present call will finance exclusively one or more of the following activities of improving the housing conditions of Roma persons in vulnerable situations:

- Construction, rehabilitation and refurbishment of social houses, provided that the facility will start to be used by target group members during the life of the project and alternative decent housing conditions will be offered to the target group living in the
respective houses along the duration of the infrastructure works/refurbishment, if the case. As part of the sustainability obligations, PP/partners shall ensure the continuous use of the social houses by the respective Roma beneficiaries, as long as the eligibility criteria continue to be met (or by other Roma beneficiaries in similar conditions);

- Legal assistance for clarification of individual housing situation and rights (legal fees, taxes etc);
- Connection of individual households to utility networks or development of independent solutions (heating, sewage solutions); extension of living space, repairments to individual houses, construction of sanitary facilities (bathrooms, toilets). This activity is eligible provided that the PP/partners:
  - comply with the provisions of applicable legislation in the construction field and ensure that the works do not put in danger the initial household infrastructure (technical expertise);
  - describe in the relevant section of the project proposal, and subsequently enforce in implementation, a specific methodology for selection of beneficiaries, ensuring their involvement as volunteers in the activity;

It is recommended that families benefitting from the housing improvement activity benefit also from at least one other service provided in the project (education, employment, health etc), as the case may be, in order to ensure the sustainability of the respective support measures. Donations of construction materials to physical persons and construction of new houses are not eligible activities.

The maintenance of the above facilities/housing improvements will be part of the PP/partner responsibilities in the sustainability period, reason for which PP/partners are encouraged to further formalise these legal responsibilities in relation with the respective beneficiaries.

Projects shall also include the following mandatory activity (activity no 6) for the target group "specialists/volunteers from the fields of education, health, employment, social services, public administration, NGOs etc. involved in the provision of services to Roma persons”:

**Activity 6: Training of professional staff and volunteers** (from the fields of education, health, employment, social work, from public administration and NGO sector) in working with Roma. Training activities are eligible only if they include both theoretical and practical activities, monitored by trainers or mentors along their implementation and whose impact on the target group is demonstrated by evaluation reports.

**Other types of activities**

Other types of activities that fall in the above mentioned main categories may also be proposed (if not otherwise specified under each category), with the condition that they contribute to achieving call objective(s) and that they are justified in relation with the target groups' needs.

Projects providing integrated services to target groups (person receiving at least two services of the above mentioned categories) will receive distinct points in evaluation.

Projects contributing to Call Objective no 1 (service provision) may also include other eligible activities such as:

- **Parental education programmes** for raising awareness regarding the role of the family in the education of the child, encouraging participation of parents in the educational process both in school and outside, including for acquiring child rearing abilities, support groups for mothers etc;
• **Information and awareness raising activities and campaigns**\(^{13}\) targeting Roma persons and/or families in vulnerable situations, aiming to support their participation in project activities;

• Activities of **improving the infrastructure** necessary for provision of services from the above mentioned main categories, justified in relation with the needs in the implementation area:
  - construction, rehabilitation, extension and refurbishment of service provision facilities, including provision of utilities, sanitary conditions and heating. In well justified situations, procurement of buildings and land with the above mentioned destinations is also eligible;
  - procurement of furniture, equipments, didactic aids, devices and materials, vehicles for facilitating the participation of target groups in project activities;

• **Material** support for promoting participation of target groups in project services: health and nutrition supplies, school supplies, clothing and footwear, medical tests, vitamins, hygiene products, food etc. Meals offered to children and youth will meet the minimal legal provisions regarding the caloric content and health benefits. PP will describe in the project the methodology for delivery of material support (selection criteria, conditions for continued aid provision etc) and will condition its delivery, in implementation, on participation to project activities;

• **Best practice exchange activities with entities from Donor States** in any of the project intervention areas.

Project activities will contribute to achieving programme and specific project level indicators, according to a methodology that will be described in the project proposal. Projects will mandatorily include activities aiming to achieve programme minimal indicators and targets (see section 2.3).

In order to receive distinct points in evaluation for contributing to the optional **Call Objective no 3: Empowering Roma people**, projects may include activities from the following categories:

**Activity 1: Development of Roma people individual capabilities** via formal, non-formal and informal education tools and instruments, such as: development of socio-emotional skills, including by promotion of success models and self-esteem; development of employability by acquiring soft skills necessary at the workplace (communication and assertiveness, team work, conflict resolution, time management); learning Romani or majority language; participating in cultural activities aimed at showcasing or appreciating Roma culture etc.;

**Activity 2: Providing information** to Roma people, especially Roma women and youth (girls), regarding how to assert rights, ways to access services and hold state and nonstate actors accountable, delivered not only in print but also via group discussions, storytelling, debates, family and household budgeting, including training of trainers for Roma leaders and experts on how to apply these tools etc. It is encouraged the use of information and communication technologies (ICT), in project activities, for ensuring the access of Roma people to information.

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\(^{13}\) An awareness raising campaign is a planned series of coordinated communication activities that are realised to achieve a common goal, for a defined target group and are conducted in a defined time period. Awareness raising campaigns should have a common subject of communication activities and a common message. A single project could encompass more than one awareness raising campaign, but the subject and the goals of the campaigns must be clearly defined and distinguished. A single communication activity (i.e. single event, advertisement, announcement(s) in social media etc. is not considered as a campaign, but as part of one, and must not be counted separately).
Donations of ICT equipment to individuals are not eligible.

Activity 3: Inclusion and participation of Roma persons in decision-making at various levels, such as mechanisms for co-opting representatives of the Roma community in decisions regarding projects to be submitted on investment at commune/town level; participation in the receipt of investment works in the benefit of the Roma community; participative budgeting mechanisms at community level; training of Roma experts and leaders on advocacy techniques etc.

Activity 4: Activities of increasing the accountability and capacity of public authorities and institutions to be more inclusive, such as training of professionals from public administration on working with Roma; development of internal procedures etc.

Activity 5: Community facilitation - Activities promoting local organisational capacity, i.e. the ability of people to work together, organise themselves and mobilise resources to solve problems of common interest:

- Identification of Roma formal and informal leaders and community stakeholders;
- Education for democratic citizenship and human rights education, delivered using various non-formal education tools and instruments;
- Training of Roma leaders and experts, Roma youth, on various community facilitation related topics;
- Carrying out consultative meetings with the participation of local authorities and community initiative groups for identification of problems and solutions at community level;
- Mobilisation of community initiative groups;
- Development of local action plans for implementing the identified solutions;
- Short term, small scale actions / projects aiming at solving community problems in the areas of social inclusion, identified as part of the community facilitation process. The total amount that can be budgeted for such actions is of maximum 25,000 EUR per the entire project and shall be specifically included under budget chapter 5 Other costs for providing services to beneficiaries. The eligible costs for the respective actions are only those eligible under the previously mentioned budget chapter (therefore infrastructure is excluded) and does not represent re-granting;
- Other activities of developing the local organisational capacity.

Other types of activities that fall in the above mentioned main categories may also be proposed, with the condition that they contribute to achieving the Call Objective no 3 and that they are justified in relation with the target groups’ needs.

Eligible optional activities:

- Awareness raising campaigns on empowerment related topics, targeting Roma persons, especially Roma women and youth with low levels of awareness regarding their rights and participation in community life;
- Best practice exchange activities with entities from Donor States on empowerment issues.

The eligible budget for these two optional activities is of maximum 15% of the total project costs (total for both activities) and will be included in separate lines under budget chapter 6 Other project costs.

In order to receive distinct points in evaluation for contributing to the optional Call Objective no 4: Combating all forms of discrimination of Roma people, projects may include activities from the following categories:
Activity 1 (mandatory): **Awareness raising campaigns** on anti-discrimination issues, targeting majority population in project implementation areas, promoting respect of rights of Roma people, fighting against anti-Gypsism, stereotypes and harassment;

Activity 2: **Development of anti-discrimination tools** such as public policies, legislative initiatives, strategic documents, action plans, working methodologies and instruments, curricula, guidelines etc;

Activity 3: **Training of professional staff and volunteers** (training of trainers in the fields of education, health, employment, social work, from public administration and NGO sector) on anti-discrimination issues. Training activities are eligible only if they include both theoretical and practical activities, monitored by trainers or mentors along their implementation and whose impact on the target group is demonstrated by evaluation reports. Projects that include development and/or provision of training courses accredited as continuous training by relevant authorities and professional bodies (for example, Ministry of Education, College of Social Workers, College of Psychologists, College of Physicians etc) or training courses that follow the curricula and manuals of the Council of Europe\(^\text{14}\) will receive distinct points in evaluation.

Activity 4: **Organisation of public events** with participation of Roma and majority population, aiming to promote the knowledge of the history, culture and traditions of Roma community

Activity 5: **Best practice exchange activities with entities from Donor States** in the field of fighting against discrimination and promotion of human rights.

\(^{14}\) Please refer to the following web page for a list of manuals and handbooks produced by the Council of Europe in the area of Non-Formal Education, Human Rights Education and Intercultural Learning: https://www.coe.int/en/web/youth/manuals-and-handbooks
Thematic Pre-allocation B - Capacity building projects for existing educational / after school / day care centres working with a high percentage of Roma children and youth

Target groups:

Mandatory target groups (all four target groups for each project):

- **Specialists/volunteers** from the fields of education, health, employment, social services, public administration, NGOs etc involved in the provision of services to Roma persons in existing educational/after school/day care centres – **minimum 100 specialists/volunteers per project**

- **Roma persons** (adults, youth or children), especially Roma women and youth, with low levels of awareness regarding their rights and participation in community life, beneficiaries of services provided in the existing educational/after school/day care centres or from the community – **minimum 150 Roma persons per project**

- **Roma experts and leaders** - persons acting as individuals or in NGOs, action groups, community groups, public authorities and institutions, trade unions, pupil or student organisations, political parties, youth organisations or other associative types, persons in formal or informal positions of leadership in relation with the vulnerable group from communities served by existing educational/after school/day care centres – **minimum 45 Roma experts and leaders per project**

- **Community members belonging to the majority population**, living in communities served by existing educational/after school/day care centres – **minimum 500 persons per project**

Activities:

Projects under Pre-allocation B shall contribute to the Objective no 2 (existing centres), Objective no 3 (empowerment) and Objective no 4 (anti-discrimination).

**Objective no 2**

**Activity 1 (mandatory): Development of human resources** (professional staff and volunteers) in existent educational / after school / day care centres working with a high percentage of Roma children and youth, aiming at increasing professional competences and skills, with the final goal of increasing the quality of services provided or implementing innovative approaches:

1.1 training courses and other non-formal education activities. Activities in this category are eligible only if they include both theoretical and practical activities, which are monitored by trainers along their implementation and whose impact on the target group is demonstrated by evaluation reports;

1.2 creation and development of professional support networks, such as support networks, mentoring activities, experience exchanges and other best practice exchange activities with other service providers.

Projects that include development and/or provision of training courses accredited as continuous training by relevant authorities and professional bodies (for example, Ministry of Education, College of Social Workers, College of Psychologists, College of Physicians etc) will receive distinct points in evaluation. As indicated in section 2.3 Programme indicators and targets at project level, projects will include training of **minimum of 100 persons**.

**Activity 2 (mandatory): Awareness raising campaigns targeting Roma persons** (adults, youth or children), especially Roma women and youth, with low levels of awareness regarding their rights and participation in community life, beneficiaries of services provided in the existing
educational/after school/day care centres. As indicated in section 2.3 Programme indicators and targets at project level, projects will include a minimum of 1 campaign, targeting minimum 500 persons.

Activity 3 (optional): Studies, analyses, action plans etc. for designing and/or evaluating the impact of services provided by the targeted centres or by the project.

Activity 4 (optional): Best practice exchange activities with entities from Donor States aiming at improving/developing the quality of services provided by the targeted centres.

Objective no 3 (empowerment):

Activity 1 (mandatory): Development of Roma people individual capabilities via formal, non-formal and informal education tools and instruments, such as: development of socio-emotional skills, including by promotion of success models and self-esteem; development of employability by acquiring soft skills necessary at the workplace (communication and assertiveness, team work, conflict resolution, time management); learning Romani or majority language; participating in cultural activities aimed at showcasing or appreciating Roma culture etc.;

Activity 2 (mandatory): Providing information to Roma people, especially Roma women and youth (girls), regarding how to assert rights, ways to access services and hold state and nonstate actors accountable, delivered not only in print but also via group discussions, storytelling, debates, including training Roma leaders and experts on how to apply these tools (training of trainers) etc. It is encouraged the use of information and communication technologies (ICT), in project activities, for ensuring the access of Roma people to information. Donations of ICT equipment to individuals are not eligible.

Activity 3: Inclusion and participation of Roma persons in decision-making at various levels, such as mechanisms for co-opting representatives of the Roma community in decisions regarding projects to be submitted on investment at commune/town level; participation in the receipt of investment works in the benefit of the Roma community; participative budgeting mechanisms at community level; training of Roma experts and leaders on advocacy techniques etc.

Activity 4: Activities of increasing the accountability and capacity of public authorities and institutions to be more inclusive, such as training of professionals from public administration on working with Roma; development of internal procedures etc.

Activity 5: Community facilitation - Activities promoting local organisational capacity, i.e. the ability of people to work together, organise themselves and mobilise resources to solve problems of common interest:

- Identification of Roma formal and informal leaders and community stakeholders;
- Education for democratic citizenship and human rights education, delivered using various non-formal education tools and instruments;
- Training of Roma leaders and experts, Roma youth, on various community facilitation related topics;
- Carrying out consultative meetings with the participation of local authorities and community initiative groups for identification of problems and solutions at community level;
- Mobilisation of community initiative groups;
- Development of local action plans for implementing the identified solutions;
- Short term, small scale actions / projects aiming at solving community problems in the areas of social inclusion, identified as part of the community facilitation process. The
total amount that can be budgeted for such actions is of maximum 25,000 EUR per the entire project and shall be specifically included under budget chapter 5 Other costs for providing services to beneficiaries. The eligible costs for the respective actions are only those eligible under the previously mentioned budget chapter (therefore infrastructure is excluded) and does not represent re-granting;
- Other activities of developing the local organisational capacity.

Other types of activities that fall in the above mentioned main categories may also be proposed, with the condition that they contribute to achieving the Call Objective no 3 and that they are justified in relation with the target groups’ needs.

Projects including activities from at least three main categories will receive distinct points in evaluation.

Eligible optional activities contributing to Call Objective no 3:

- Awareness raising campaigns on empowerment related topics, targeting Roma persons, especially Roma women and youth with low levels of awareness regarding their rights and participation in community life;
- Best practice exchange activities with entities from Donor States on empowerment issues.

The eligible budget for these two optional activities is of maximum 15% of the total project costs (total for both activities) and will be included in separate lines under budget chapter 6 Other project costs.

Objective no 4 (anti-discrimination):

Activity 1 (mandatory): Awareness raising campaigns on anti-discrimination issues, targeting majority population in project implementation areas, promoting respect of rights of Roma people, fighting against anti-Gypsism, stereotypes and harassment;

Activity 2: Development of anti-discrimination tools such as public policies, legislative initiatives, strategic documents, action plans, working methodologies and instruments, curricula, guidelines etc;

Activity 3: Training of professional staff and volunteers (training of trainers in the fields of education, health, employment, social work, from public administration and NGO sector) on anti-discrimination issues. Training activities are eligible only if they include both theoretical and practical activities, monitored by trainers or mentors along their implementation and whose impact on the target group is demonstrated by evaluation reports. Projects that include development and/or provision of training courses accredited as continuous training by relevant authorities and professional bodies (for example, Ministry of Education, College of Social Workers, College of Psychologists, College of Physicians etc) or training courses that follow the curricula and manuals of the Council of Europe\textsuperscript{15} will receive distinct points in evaluation.

Activity 4 (mandatory): Organisation of public events with participation of Roma and majority population, aiming to promote the knowledge of the history, culture and traditions of Roma community

Activity 5: Best practice exchange activities with entities from Donor States in the field of fighting against discrimination and promotion of human rights.

\textsuperscript{15} See reference provided under Pre-allocation A regarding the webpage where a list of manuals and handbooks produced by the Council of Europe in the area of Non-Formal Education, Human Rights Education and Intercultural Learning is available.
Thematic Pre-allocation C - Empowerment projects

Target groups:
Mandatory target groups under mandatory Objective no 3 Empowering Roma people (all target groups for each project):

- **Roma persons** (adults, youth or children), especially Roma women and youth, with low levels of awareness regarding their rights and participation in community life – minimum 150 Roma persons per project
- **Roma experts and leaders** - persons acting as individuals or in NGOs, action groups, community groups, public authorities and institutions, trade unions, pupil or student organisations, political parties, youth organisations or other associative types, persons in formal or informal positions of leadership in relation with Roma persons and/or families in vulnerable situations – **minim 45 Roma experts and leaders per project**
- **Specialists/volunteers** from the fields of education, health, employment, social services, public administration, NGOs etc involved in the provision of services to Roma persons – **minim 20 specialists/volunteers per project**

Optional target groups under optional Objective no 4 Combating all forms of discrimination of Roma people:

- **Community members belonging to the majority population**, living in the project implementation areas/neighbourhood, as subjects of awareness campaign(s).
- **Specialists/volunteers** from the fields of education, health, employment, social services, public administration, NGOs etc involved in the provision of services to Roma persons.

In order to receive distinct points in evaluation, projects must target **minimum 500 community members** as subjects of the awareness campaign(s), if the case.

Activities:
Projects submitted under Pre-allocation C shall include activities from the following main categories, contributing to **Objective no 3 (empowerment)** and the optional **Objective no 4 (anti-discrimination)**:

**Objective no 3 (empowerment):**

**Activity 1 (mandatory):** Development of Roma people individual capabilities via formal, non-formal and informal education tools and instruments, such as: development of socio-emotional skills, including by promotion of success models and self-esteem; development of employability by acquiring soft skills necessary at the workplace (communication and assertiveness, team work, conflict resolution, time management); learning Romani or majority language; participating in cultural activities aimed at showcasing or appreciating Roma culture etc.;

**Activity 2 (mandatory):** Providing information to Roma people, especially Roma women and youth (girls), regarding how to assert rights, ways to access services and hold state and nonstate actors accountable, delivered not only in print but also via group discussions, storytelling, debates, including training Roma leaders and experts on how to apply these tools (training of trainers) etc. It is encouraged the use of information and communication technologies (ICT), in project activities, for ensuring the access of Roma people to information. Donations of ICT equipment to individuals are not eligible.
Activity 3: **Inclusion and participation of Roma persons in decision-making** at various levels, such as mechanisms for co-opting representatives of the Roma community in decisions regarding projects to be submitted on investment at commune/town level; participation in the receipt of investment works in the benefit of the Roma community; participatory budgeting mechanisms at community level; training of Roma experts and leaders on advocacy techniques etc.

Activity 4 (mandatory): **Activities of increasing the accountability and capacity of public authorities and institutions to be more inclusive**, such as training of professionals from public administration on working with Roma; development of internal procedures etc.

Activity 5: **Community facilitation - Activities promoting local organisational capacity**, i.e. the ability of people to work together, organise themselves and mobilise resources to solve problems of common interest:

- Identification of Roma formal and informal leaders and community stakeholders;
- Education for democratic citizenship and human rights education, delivered using various non-formal education tools and instruments;
- Training of Roma leaders and experts, Roma youth, on various community facilitation related topics;
- Carrying out consultative meetings with the participation of local authorities and community initiative groups for identification of problems and solutions at community level;
- Mobilisation of community initiative groups;
- Development of local action plans for implementing the identified solutions;
- Short term, small scale actions / projects aiming at solving community problems in the areas of social inclusion, identified as part of the community facilitation process. The total amount that can be budgeted for such actions is of maximum 25,000 EUR per the entire project and shall be specifically included under budget chapter 5 Other costs for providing services to beneficiaries. The eligible costs for the respective actions are only those eligible under the previously mentioned budget chapter (therefore infrastructure is excluded) and does not represent re-granting;
- Other activities of developing the local organisational capacity.

Other types of activities that fall in the above mentioned main categories may also be proposed, with the condition that they contribute to achieving the Call Objective no 3 and that they are justified in relation with the target groups’ needs.

Projects including activities from **all** main categories will receive distinct points in evaluation.

Other eligible activities:

- Awareness raising campaigns on empowerment related topics, targeting Roma persons, especially Roma women and youth with low levels of awareness regarding their rights and participation in community life;
- Best practice exchange activities with entities from Donor States on empowerment issues.

The eligible budget for these two optional activities is of maximum 15% of the total project costs (total for both activities) and will be included in separate lines under budget chapter 6 Other project costs.

As indicated in section 2.3 Programme indicators and targets at project level, projects addressing call objective no 3 must target a minimum of 150 Roma persons with low levels of awareness regarding their rights and participation in community life who will benefit from the empowerment activities mentioned above and minimum 45 Roma experts and leaders trained to defend Roma rights.
Optional Objective no 4 (anti-discrimination):

**Activity 1 (mandatory): Awareness raising campaigns** on anti-discrimination issues, targeting majority population in project implementation areas, promoting respect of rights of Roma people, fighting against anti-Gypsism, stereotypes and harassment;

**Activity 2: Development of anti-discrimination tools** such as public policies, legislative initiatives, strategic documents, action plans, working methodologies and instruments, curricula, guidelines etc;

**Activity 3: Training of professional staff and volunteers** (training of trainers in the fields of education, health, employment, social work, from public administration and NGO sector) on anti-discrimination issues. Training activities are eligible only if they include both theoretical and practical activities, monitored by trainers or mentors along their implementation and whose impact on the target group is demonstrated by evaluation reports. Projects that include development and/or provision of training courses accredited as continuous training by relevant authorities and professional bodies (for example, Ministry of Education, College of Social Workers, College of Psychologists, College of Physicians etc) or training courses that follow the curricula and manuals of the Council of Europe\textsuperscript{16} will receive distinct points in evaluation.

**Activity 4: Organisation of public events** with participation of Roma and majority population, aiming to promote the knowledge of the history, culture and traditions of Roma community

**Activity 5: Best practice exchange activities with entities from Donor States** in the field of fighting against discrimination and promotion of human rights.

Projects including activities from at least two of the above mentioned categories (activity 1 and at least one other activity) will receive distinct points in evaluation.

2.5 Financial allocation

The total amount allocated to this call is \textit{16.000.000 Euro}, including EEA Grants 2014-2021 (85%) and public co-financing (15%). Thematic funding streams have been allocated as follows:

**Pre-allocation A - Service provision projects:** \textbf{10.000.000 Euro}

**Pre-allocation B: Capacity building projects for existing educational / after school / day care centres** working with a high percentage of Roma children and youth: \textbf{3.000.000 Euro}

**Pre-allocation C: Empowerment projects:** \textbf{3.000.000 Euro}

The minimum amount (grant) that can be requested for the implementation of one project is \textbf{300.000 Euro}, and the maximum amount is \textbf{1.000.000 Euro}.

In case that after the selection process, there will be projects on the reserve list under a certain thematic pre-allocation and there have remained funds available under another thematic pre-allocation, PO, in consultation with the Programme partners, may decide to revise the amounts allocated per each thematic pre-allocation according to the results of the selection process.

2.6 Grant rate and private contribution

The grant rate shall normally be 100\% of the project eligible expenses except where the PP is a non-governmental organization (NGO), when the grant rate is maximum 90\% of the project eligible expenses.

\textsuperscript{16} Please refer to the following web page for a list of manuals and handbooks produced by the Council of Europe in the area of Non-Formal Education, Human Rights Education and Intercultural Learning: https://www.coe.int/en/web/youth/manuals-and-handbooks
expenses (minimum 10% to be provided by the applicant and/or partners, in accordance with the Partnership Agreement concluded).

According to Art. 1.6.1 (n) of the applicable Regulation, a non-governmental organization is a legal, voluntary, non-profit, non-commercial entity, independent of local, regional and central government, public entities, political parties and trade organizations. Religious institutions and political parties are not considered as NGOs.

In compliance with Art. 6.4.5 of the Regulation on the Implementation of the EEA Financial Mechanism 2014-2021 (Regulation), **contribution in kind** in the form of voluntary work is possible for the projects implemented by NGOs. This contribution may not exceed 50% of the co-financing the PP and/or partners have to provide (for further details on the basis for calculating the unit prices for voluntary work, please refer to the Guide for Applicants). The rest of the contribution is in cash.

The projects financed under this call shall not, under any circumstances, include activities that fall under the State and Minimis aid.

### 2.7 Project duration and implementation period

In determining the duration of the project, the PP shall take into account the limits set by the Programme (the minimum and/or maximum duration of a project, the latest date for finalizing the implementation of the project), the application of procurement procedures, the specificity of the reporting and payment system, but also the individual aspects of the project (types of activities and impact on target groups, types and level of estimated costs).

The recommended date for finalizing the implementation of projects financed under the Programme is **August 31, 2023** and the recommended duration of a project is the following:

- Pre-allocation A: between 18 and 36 months;
- Pre-allocation B: between 12 and 24 months;
- Pre-allocation C: between 12 and 24 months.

### 2.8 Eligible Project Promoters

In compliance with the provisions of chapter 2 - Eligibility, of the Annex II – Operational rules, PA 10, of the Programme Agreement, under the call for proposals “Enhancing Roma Inclusion and Empowerment” the eligible project promoters are legal persons established in Romania, according to applicable national legislation:

1. **nongovernmental organisations**¹⁷ (NGOs).
2. **public entities** (for ex., central and local public authorities, including agencies, structures/other bodies in their subordination/coordination and other public bodies with responsibilities in the project field etc);
3. **associations of local authorities**¹⁸;

The eligibility of the PP (legal person, type of organisation, status etc.) shall be demonstrated with legal documents at the submission of the project.

Eligible PPs can submit in this capacity maximum two proposals under this Call, but under different thematic pre-allocations, otherwise the number is limited to only one proposal. In case the project does not fulfil this criterion, it shall be subject to further clarification during the formal verification.

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¹⁷ Under the present Call, an NGO is an entity established as a legal person in Romania that fulfills the conditions of the previously mentioned definition.

¹⁸ Associations of local authorities are associative structures of the local public administration authorities, of general interest, established according to the law. Under the present Call, associations of local authorities are NOT considered NGOs (see definition), therefore art. 6.4(3) of the Regulation does not apply.
stage, when PP shall be requested to choose which of the projects submitted is kept in competition and which one/ones is/are withdrawn.

In determining the number of proposals to be submitted, a PP must consider the rule that, at the level of the entire Programme, one PP can receive funding for maximum two projects, submitted under the various calls and grant schemes. This represents an eligibility criterion. If, at the moment of project submission under this call, the PP has already been granted two projects in this capacity, the project application(s) received under this call shall be automatically excluded.

The entities in one of the following situations are not entitled to receive a project grant:

- are bankrupt, are under the administration of a judicial authority or under liquidation procedures, have suspended their business activities, are the subject of proceedings concerning the above mentioned matters, or are in any similar situation arising from a similar procedure applied under the provisions of the national legislation or regulations;
- are guilty of serious professional misconduct proven by any means, which the PO can reasonably justify;
- have not fulfilled its obligations relating to the payment of social security contributions or payment of taxes to the state budget, in accordance with the legal provisions of the country in which they are registered/established;
- are subject to a final legal judgment “res judicata”, for fraud, corruption, involvement in a criminal organization or any other illegal activity affecting the financial interests, without any evidence that they have taken in recent years corrective measures in this regard;
- they have been declared to be in a serious breach of the contractual obligations regarding procurement procedures or procedures for the award of grants;
- they are in a situation of a conflict of interest with the organization or persons directly or indirectly involved in the grant award procedure, regardless the moment when the PO ascertains this situation;
- they are guilty of serious misrepresentation occurred in the process of supplying the information required by the PO or fail to provide the requested information, regardless the moment when this situation occurs.

In order to be eligible, the project promoters must also have a minimal previous experience in projects implementation in the areas of Roma inclusion and empowerment by having implemented in the last 5 years prior to the submission of the present proposal, as partner or promoter, projects in the area of social inclusion with budgets at least equal to the budget of the proposed project (aggregate value) under this call. In case the project does not fulfil this criterion, it shall be rejected during the formal evaluation stage.

2.9 Eligible partners

Under pre-allocations A and B of this call, the partnership between public entities / associations of local authorities and NGOs (including local action groups) from Romania is mandatory and represents an eligibility criterion which, upon failing to be met, results in the exclusion of the project in the formal verification stage. In case of pre-allocation C, the partnership is not mandatory, but projects implemented in partnership (irrespective of the type of entity) will receive distinct points in evaluation (max. 5 points).

The Programme aims to support initiatives to strengthen the cooperation at different levels between public entities and the civil society, between entities in Romania and Donor States (Iceland, 

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19 Projects submitted under the small grant scheme „Access to Funding“, in the framework of the Programme, are not considered.
Liechtenstein and Norway). In this respect, partnership projects with entities from Romania and/or Donor States are encouraged and, depending on the value added to the partnership, will receive specific points in evaluation.

The Partners (from Romania and/or Donor States) shall be actively involved and shall effectively contribute to the implementation of the project, sharing with PP the joint goal which is to be achieved through the implementation of the project. In this respect, it is recommended that partners have the capacity to act in the project's area, in accordance with the role assumed and in accordance with their legal responsibilities or status.

Under this Call, in compliance with the provisions of chapter 2 - Eligibility, of the Annex II – Operational rules, PA 10, of the Programme Agreement, the following public or private legal entities are eligible as partners:

- from Romania: public entities, associations of local authorities (including Intercommunity Development Associations) and nongovernmental organisations, including local action groups (GAL), established as Romanian legal persons.
- from Donor States: any public or private entity, commercial or non-commercial, as well as nongovernmental organisations established as legal persons in the Donor States.

The eligibility of the partner (legal person, type of organisation, status etc.) shall be demonstrated with legal documents at the submission of the project.

In case of partners from Romania, the same entity can be project partner in maximum three projects submitted under this call, regardless the thematic pre-allocation (in case it has submitted one or two projects as PP, it can act as project partner in only two or one other project, as the case may be). This represents an eligibility criterion and shall be complied with. If during the formal verification stage, the above-mentioned criterion is not fulfilled, the project partner will be requested to choose which of the project applications submitted under this call remains in the competition and which one/ones is/are withdrawn, with due consequences in the content evaluation stage for the entire project application.

In deciding the participation in projects, applicants must consider the rule that, at the level of the entire Programme, one entity can receive funding (be it as PP or a partner) for maximum three projects, submitted under the various calls and grant schemes of the programme (in these cases, it must prove that it has the necessary capacity to carry out the assumed tasks, with the available financial, material and human resources).

The entities in one of the following situations are not entitled to be partners in the project:

- are bankrupt, are under the administration of a judicial authority or under liquidation procedures, have suspended their business activities, are the subject of proceedings concerning the above mentioned matters, or are in any similar situation arising from a similar procedure applied under the provisions of the national legislation or regulations;
- are guilty of serious professional misconduct proven by any means, which the PO can identify or reasonable justify;
- have not fulfilled its obligations relating to the payment of social security contributions or payment of taxes to the state budget, in accordance with the legal provisions of the country in which they are registered/established;
- are subject to a final legal judgment “res judicata”, for fraud, corruption, involvement in a criminal organization or any other illegal activity affecting the financial interests, without any evidence that they have taken in recent years corrective measures in this regard;

20 Projects submitted under the small grant scheme „Access to Funding“, in the framework of the Programme, are not considered.
- they have been declared to be in a serious breach of the contractual obligations regarding procurement procedures or procedures for the award of grants;
- they are in a situation of a conflict of interest with the organization or persons directly or indirectly involved in the grant award procedure, regardless the moment when the PO ascertains this situation;
- they are guilty of serious misrepresentation occurred in the process of supplying the information required by the PO or fail to provide the requested information, regardless the moment when this situation occurs.

Selection of partners

The creation and implementation of the partnership will comply with the applicable national and EU legislation on public procurement, Article 8.15 of the Regulation, as well as the provisions of Chapter V, Article 14 of Government Emergency Ordinance (GEO) No. 34/2017, applicable to Romanian public entities.

Article 14 of GEO No. 34/2017 stipulates that Romanian public entities acting as PP must, when selecting Romanian NGOs to act as project partners, apply a transparent and non-discriminatory partner selection procedure. The selection methodology has to be prepared by the PP and approved by the legal representative of the PP. The PP is entirely responsible for the partner selection procedure and will have to declare to the PO, in writing, that it has complied with the applicable legal provisions. Information about the minimum documents to be provided by PP at the contracting phase proving the respect of this requirement are provided in the Guide for Applicants.

Partnership agreement

The PP will sign a Partnership Agreement with the project partners (recommended template provided in the Guide for Applicants). The Partnership Agreement must clearly set out the roles, responsibilities and budget of the parties, coordinating bodies, financial arrangements between the parties etc. (see Article 7.7 of the Regulation). The draft of the Partnership Agreement shall be submitted as an Annex to the grant application and shall be finalized and signed by the partners before signing the project financing contract. If, for objective reasons, the PP cannot sign a single partnership agreement with all the partners, separate agreements may be concluded. In these situations, the PP is responsible for informing all the partners on the details related to the project implementation and of the other partners (e.g. their identity, role and attributions of each, the budget allocated to each etc).

If the case, in addition to the partnership agreement, a letter of intent is required for the partners from Donor States (see the recommended template provided in the Applicant’s Guide).

Both partnership agreement(s) and letter of intent are mandatory documents and consititute criteria for formal verification. Failure to meet any of them leads to project rejection.

Collaboration agreement

For other entities, that do not have the status of partners and do not have a budget allocated from the project grant, support the project application elaboration and shall be involved in the project implementation (e.g. local or central public administration authorities, decentralized public services, schools, etc.), the PO recommends the conclusion of a Collaboration Agreement, either prior the submission of the application (to be attached to the grant application), or during the project implementation, after they are identified. However, the PO might request the conclusion of a such collaboration agreement in the evaluation or selection stage, if considered necessary.

Funds for bilateral relations

During the project proposal preparation period, the PO can provide to entities from Donor States and Romania that are eligible under the call, in the framework of a call for bilateral activities.
proposals, funds for the organization of bilateral activities with the purpose to facilitate partnership relations, respectively to prepare and/or conclude a partnership agreement for the project, and to effectively and jointly prepare the project application. The bilateral activities may be organized in Donor States or in Romania. The maximum amount that may be claimed by an applicant for a bilateral initiative is 5,000 Euro. More details on how to access these funds can be found on PO’s website: www.frds.ro

2.10 Eligible costs

As a general implementation rule, the costs are eligible if they were made from the start date of the project (the date of signing the project financing contract) to the date mentioned in the financing contract, with the subsequent amendments and additions, as might be the case, but not later than 31.12.2023 or another date set by the PO. At the closure of the project, all the project activities will be completed and all the expenses will be incurred. Further information on the general eligibility of costs can be found in the Regulation on the implementation of the EEA Financial Mechanism 2014-2021 (Chapter 8) and the Guide for Applicants.

The same rules on eligibility of costs apply to both PP and partner. All non-eligible costs shall be borne by the PP and/or its partners, as the case may be.

The budget of the project will be expressed in RON and EUR (using the InforEuro exchange rate for September 2019, 1 EUR = 4,7271 lei) and will include only eligible costs (direct costs, indirect costs and contingency costs).

Compliance with the budgetary limits for the requested grant (minimum 300.000 Euro, maximum 1.000.000 Euro) constitutes eligibility criterion. Failing to meet this criterion leads automatically to the rejection of the project.

The project budget shall include proportionate, necessary and accurate costs in relation with its planned objectives, activities and results. In this respect, as justification of the project proposed budget, the PP shall mandatorily present a detailed breakdown of the estimated costs (type of costs, unit prices are required). The budget, along with its detailed justification and breakdown of costs, shall be an important part of project evaluation and, if they deem as necessary, the evaluators may request additional information and could propose a budget revision.

The main categories of eligible costs are:

Direct costs

- The cost of staff assigned to the project, comprising actual salaries plus social security charges and other statutory costs included in the remuneration, provided that this corresponds to the Project Promoter’s and project partner’s usual policy on remuneration. The corresponding salary costs of staff of national administrations are eligible to the extent that they relate to the cost of activities which the relevant public authority would not carry out if the project concerned were not undertaken;

- Travel and subsistence allowances for staff taking part in the project. Having regard to the principle of proportionality, travel costs, including subsistence allowance, may be calculated as a lump sum, on the basis of defined rules approved by the Programme Operator (see the applicable annex to the Guide for applicants;

- Cost of new or second hand equipment. Where new or second hand equipment is purchased, only the portion of the depreciation corresponding to the duration of the project and the rate of actual use for the purposes of the project may be considered eligible expenditure. In case the Programme Operator determines that the equipment is an integral and necessary component for achieving the outcomes of the project, the entire purchase price of that equipment may, by way of exception from the rule contained in paragraph 4 of Article 8.2 of Regulation, be eligible;
- Purchase of land and real estate under the conditions set in Article 8.6, with the exception of projects submitted under Pre-allocation B (existing centres), where the purchase of land and real estate is not eligible;
- Costs of consumables and supplies, provided that they are identifiable and assigned to the project;
- Costs entailed by other contracts awarded by a Project Promoter for the purposes of carrying out the project, provided that the awarding complies with the applicable rules on public procurement and this Regulation; and
- Costs arising directly from requirements imposed by the project contract for each project.

The investment costs include equipment costs, construction works costs, land and real estate purchasing costs. Depending on the objectives and complexity of each project, the investment costs shall be reasonable and proportionate to the effectiveness of objectives’ achievement, not exceeding 60% of the project total value in case of projects submitted under Pre-allocation A, 10% in case of projects submitted under Pre-allocation B and 40% in case of projects submitted under Pre-allocation and C. Where eligible, purchase of real estate and/or land shall not exceed 10% of the total eligible expenditure of the project.

**Indirect costs**

For entities from Romania, the indirect costs of the project shall be determined either on the basis of actual costs and shall be justified by the analytical accounting system (Art. 8.5, lit. a) of the Regulation), or on the basis of a flat rate calculation (Art. 8.5, lit. c). The indirect costs calculation method shall be stipulated in the project financing contract and in the Partnership agreement (if applicable). The entities from Donor States may identify their indirect costs according to one of the methods mentioned in the Regulation (Article 8.5 Indirect costs in projects - overheads). The indirect costs calculating method cannot be changed during the project implementation.

**Contingency**

The maximum amount for these costs is 5% of the eligible direct costs. The contingency can only be used with the prior approval of the PO, and the available amounts shall be re-distributed to the other budget headings as their utilization is approved.

**2.11 Excluded costs**

Costs such as the following are not considered eligible:

- Interest on debt, debt service charges and late payment charges;
- Charges for financial transactions and other purely financial costs, except costs related to accounts required by the FMC, the National Focal Point or the applicable law and costs of financial services imposed by the project contract;
- Provisions for losses or potential future liabilities;
- Exchange losses;
- Recoverable VAT;
- Costs that are covered by other sources;

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21 Costs that cannot be directly attributed to the project and cannot be identified by the PP and/or project partners as directly attributable to the project but can be identified and justified by the accounting system as being committed in direct relation with the eligible direct costs of the project.
- Fines, penalties and costs of litigation, except where litigation is an integral and necessary component for achieving the outcomes of the project; and
- Excessive or reckless expenditures.

2.12 Durability of projects

PP/partners will have to demonstrate the capacity to use the results also after the project completion and to bear the cost of support services created/developed by the project. In this regard, a plan on the sustainability of the project results shall be developed, according to the specific of the project. PP shall be guided by the aim of promoting sustainability of ensuring that the financial support provided to the project generates the maximum benefits to its target group and final beneficiaries. PP will also take into account the requirements of the Regulation (Art. 8.6 and 8.14).

Real estate and/or land (including construction and/or renovation): the minimum post-completion operation for projects that involve investment in real estate and/or land, is of at least five years after the project completion, period in which the real estate and/or the land shall be used for the purpose of the project as described in the project contract, including the provision of services/activities.

Purchase of equipment: in case of projects in which the entire price of the purchase of the equipment is determined as eligible by the PO, the minimum post-completion operation is also of at least five years after the project completion, period in which the PP/partners shall keep the equipment in their ownership, shall use it for the benefit of the overall objectives of the project, shall ensure it against losses and shall set aside appropriate resources for its maintenance (according to Art. 8.3 paragraph 2 of Regulation).

Projects not involving investment in real estate and/or land (including renovation) and/or procurement of equipment for which the entire purchase price of equipment is determined as eligible by the PO, shall have a minimum post-completion operation as follows:

- Projects submitted under Pre-allocation A (service provision): minimum 3 years according to the plan on the project sustainability;
- Projects submitted under Pre-allocations B (existing centres) and C (empowerment): minimum 1 year according to the plan on the project sustainability.

Details on durability of projects and applicable conditions are provided in the Guide for Applicants and shall be also regulated by grant contract provisions.

3. Projects verification, evaluation, selection and contracting

As PO, RSDF is responsible for collecting applications, organizing the selection process of the submitted applications and signing the project contracts.

All projects selection and approval operations shall observe the principles of confidentiality and impartiality.

Project proposals received during the call will be analysed, per each thematic pre-allocation, against specific criteria, following several steps:

3.1 Formal (administrative) verification

During the formal verification stage, the following are verified:

a) Observance of administrative compliance
Administrative compliance criteria refer to:

- compliance with the announced deadline (the project proposal was received at PO’s office in Bucharest until the date and time announced as deadline; all projects arriving after this deadline, whether submitted in person or sent by mail or courier services, are rejected);
- compliance with the submission method as required in the call (the project proposal was submitted in person at RSDF’s office in Bucharest or sent by mail/courier services);
- compliance with the form of transmission as requested in the call (the project proposal is submitted in one original and one copy in electronic format);
- the project proposal folder is complete and compliant (all the required and mandatory documents are attached, according to the list provided in the Guide for Applicants, and they comply with the formal requirements mentioned in the call documents. Important notice: in case of projects that include construction works that require obtaining a building permit, the PP shall mandatorily annex to the project proposal the feasibility study / DALI);
- an entity has submitted as PP maximum two proposals under this Call, but under different pre-allocations (otherwise the number is limited to only one proposal) and maximum three as partner, regardless the pre-allocation (in case it has submitted one or two projects as PP, it can act as project partner in only two or one other project, as the case may be). Failure to meet one of these conditions is subject to clarifications as detailed in the Guide for Applicants. If after clarifications, there is still a non-compliance with any of these two criteria, it shall lead to the rejection of the projects overcoming these limits;
- at the level of the entire Programme, one entity from Romania can receive funding for maximum two projects as PP or three projects as partner (or as the case may be, one project as PP and two as partner, two projects as PP and one other as partner), submitted under the various calls and grant schemes.

Important notice: Failure to fulfill one of the administrative conformity criteria mentioned above automatically leads to the rejection of the application file at this stage. Therefore, the application is excluded from the next analysis stages.

b) Compliance with eligibility criteria

The eligibility criteria refer to:

- financial allocation and grant rate & private contribution: the requested amount (grant) is within the specified limits (minimum 300.000 euro and maximum 1.000.000 euro) calculated at the InforEuro for September 2019, 1 EUR = 4,7271 lei; the grant rate/private contribution are within the specified limits (depending on the type of entity);
- project duration: is between the limits specified by this call documents (18 to 36 months):
  - Pre-allocation A: between 18 and 36 months;
  - Pre-allocation B: between 12 and 24 months;
  - Pre-allocation C: between 12 and 24 months.
- The estimated date of finalising the project is end of August 2023;
- Project Promoter:
  - the PP is eligible (as described under section 2.8);
  - the PP has a minimal previous experience in projects implementation in the area of social inclusion, i.e. during the last 5 years, the PP has managed, as partner or promoter, projects in the area of social inclusion with budgets at least equal to the budget of the requested grant (aggregate value) under this call;

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22 projects submitted under the Small Grant Scheme “Access to financing” are not taken into account in this calculation.
Partners, partnership:
- eligibility of the partners: all identified partners are eligible (as described under section 2.9);
- in case of Pre-allocations A and B: the proposed project will be implemented in partnership between public entities (including associations of local authorities) and NGOs from Romania (including local action groups - LAG);
- partners’ selection: in case PP is public authority, the selection of private partners has been done in full accordance with the specific legislation;
- for all identified partners, Partnership Agreements are concluded (applies to all partners from Romania and Donor States – if the case);
- in case of partners from Donor States, a Letter of Intent is submitted;

project objectives and indicators:
- depending on the pre-allocation under which it is submitted, the project addresses the mandatory call objective(s) and corresponding mandatory indicators and targets, according to the documents of the Call, sub-sections 2.1 Objectives of the call for proposals and 2.3 Programme indicators and targets at project level;
- only in case of projects submitted under Pre-allocation B - Capacity building projects for existing educational / after school / day care centres working with a high percentage of Roma children and youth: the project targets minimum 5 centres;
- in case of projects submitted under Pre-allocations A (Service provision projects) and C (Empowerment) requesting grants over 500,000 EUR: the project is implemented in minimum 2 counties (programme indicator IP09);

project target group(s) and activities:
- the project addresses the needs of the mandatory target groups, in the required minimum numbers, according to the documents of the Call, sub-section 2.4 Eligibility of target groups and activities;
- the number of Roma beneficiaries of the project is at least 2/3 of the total number of beneficiaries (at least 2/3 of the total target group), according to the documents of the Call, sub-section 2.4 Eligibility of target groups and activities; activities under Objective no 3 Empowering Roma people address exclusively Roma persons as target group;
- project activities: the project includes the mandatory activities / activities from the mandatory categories, according to the documents of the Call, sub-section 2.4 Eligibility of target groups and activities;
- the project activities have not been funded by other grants according to sub-section 2.4 Eligibility of target groups and activities (according to a statement on own responsibility);
- the activities of the project do not overlap with sustainability activities of projects previously financed under the Programme RO10 “Children and Youth at Risk and Local and Regional Initiatives to Reduce National Inequalities and to Promote Social Inclusion” financed from EEA Grants 2009-2014 (according to a statement on own responsibility);
- project activities are in compliance with the non-segregation principle (according to Statement of compliance with the non-segregation principle).
• cost eligibility:
  o the investment costs (comprising equipment costs\(^{23}\), costs related to the accomplishment of construction works, purchase of land and real estate) do not exceed 60% of the project total value in case of projects submitted under Pre-allocation A, 10% in case of projects submitted under Pre-allocation B, and 40% in case of projects submitted under Pre-allocation C;
  o purchase of real estate and/or land (if the case) does not exceed 10% of the total eligible expenditure of the project;
• project durability: durability complies with provisions of section 2.12;

**Important notice:** Failure to fulfil one of the eligibility criteria mentioned above, automatically leads to the rejection of the application file, which is excluded from the subsequent selection stages.

The formal evaluation is performed by the PO’s specialists, observing the principles of confidentiality and impartiality and avoidance of conflict of interests.

If, during the formal verification, the information included within the project application is not sufficient and/or clear enough to be able to make an objective decision on whether or not a certain administrative compliance or eligibility criterion is fulfilled, the OP may send the PP requests for clarification and/or ask for submission of documents that clarify certain aspects/bring additional information.

The PP shall respond within maximum 5 days after receiving PO's request.

**Only the projects proposals that fulfil all the administrative conformity and eligibility criteria shall pass to the content evaluation stage.**

The applicants with projects rejected as noncompliant or non-eligible shall be notified on the results of the formal evaluation within 45 working days from the deadline for projects submission (the period will be extended by the time the clarification are requested). In well-justified cases, when the number of received project proposals is high or in other justified situations, the PO may decide to extend this deadline; in this respect, the PO shall publish an announcement on the programme’s website informing the applicants about the new deadline.

The project proposals and all the documents related to the verification/clarifications will be kept by the PO and some of the relevant data will be recorded in the database (MIS).

### 3.2 Content evaluation

**The content evaluation** (desk evaluation) is performed by independent experts, selected and contracted by the PO. The selection of these experts shall be performed based on criteria related to their qualification, competence and experience in the social inclusion field, as well as in the field of projects evaluation. Each project proposal that fulfils the administrative and eligibility criteria is evaluated independently by 2 experts. If the difference between the scores given by the two evaluators is more than 30% of the highest score, a third evaluator shall be appointed by the PO to perform an independent evaluation of the project. In such cases, the average of the nearest scores shall be considered for ranking the projects. In case the evaluated project cannot obtain the minimum score needed to obtain the financing (65/100), regardless of the score the third evaluator would have awarded, the third evaluation shall no longer be performed.

The main criteria on which the projects shall be evaluated are:

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\(^{23}\) Equipment includes fixed assets (tangible and non-tangible, with an individual acquisition value of more than 2,500 lei and a service life longer than one year), inventory items of the nature of fixed assets (having an acquisition value of up to 2,500 lei and a service life longer than one year) and means of transportation.
<table>
<thead>
<tr>
<th>No</th>
<th>Evaluation criteria</th>
<th>Maximum score</th>
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<tbody>
<tr>
<td>1.</td>
<td>Relevance of the project</td>
<td>25</td>
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<tr>
<td>1.1</td>
<td><strong>Relevance to the Programme</strong></td>
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<td></td>
<td>In case of projects submitted under Pre-allocations A or C:</td>
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<td></td>
<td>▪ The project is relevant to the objectives of the call/programme area and to the European, national and regional/local strategic documents in the field(^{24}) – max. 3 points</td>
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<td></td>
<td>▪ The project targets at least one optional call objective for the respective thematic pre-allocation – max 2 points</td>
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<td>In case of projects submitted under Pre-allocation B:</td>
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<td></td>
<td>▪ The project is relevant to the objectives of the call/programme area and to the European, national and regional/local strategic documents in the field(^{25}) – max. 5 points</td>
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<tr>
<td>1.2</td>
<td><strong>Contribution to achieving the programme/call results</strong></td>
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<td></td>
<td>The project addresses the mandatory target groups directly and has a significant number of beneficiaries from these groups, contributing to achieving the mandatory indicators over the minimum targets – max. 3 points</td>
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<td>In case of projects submitted under Pre-allocations A or C:</td>
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<td></td>
<td>▪ The project commits to meet the minimum targets of all the optional indicators corresponding to the mandatory objective(s) – 1 point</td>
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<td></td>
<td>▪ The project commits to meet the minimum targets of all the optional indicators corresponding to the optional objective(s) – 1 point</td>
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<td>In case of projects submitted under Pre-allocation B:</td>
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<td>▪ The project targets more than the minimum 5 existent educational / after school / day care centres working with a percentage of at least 2/3 Roma children and youth – max. 2 points (1 point if it targets 6 centres and 2 points if it targets from 7 centres onwards)</td>
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<tr>
<td>1.3</td>
<td><strong>Need for project implementation</strong></td>
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<td></td>
<td>The proposal clearly explains and proves the need for the project implementation; the mandatory target group(s) and their needs are clearly identified, defined, described and quantified using sources such as: existing statistical data, own research and/ or other relevant studies etc. – max. 3 points</td>
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<td>At least one third of the localities / neighbourhoods (in urban areas) have a percentage of Roma of over 30% - 2 points</td>
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<td>or</td>
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<td>The project addresses a need at national level – 2 points</td>
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\(^{24}\) See also the documents published on PO’s website, the Strategic and Legislative Framework, at http://www.frds.ro

\(^{25}\) See also the documents published on PO’s website, the Strategic and Legislative Framework, at http://www.frds.ro
1.4 **Relevance of the project to the needs of the target group/groups**
The proposal clearly explains and argues the solutions proposed for the needs/problems identified and the strategic approach for project implementation (strategy, methodologies etc.) demonstrates the integrated and systemic character of the intervention – max. 4 points

In case of projects submitted under Pre-allocation A:
- The project includes activities from at least two categories contributing to the optional call objective no 3 Empowering Roma people – 1 point
- The project includes activities from at least two categories contributing to the optional call objective no 4 Combating all forms of discrimination of Roma people – 1 point

In case of projects submitted under Pre-allocation B:
- The project includes activities from at least three categories contributing to the mandatory call objective no 3 Empowering Roma people – 1 point
- The project includes activities from at least three categories contributing to the mandatory call objective no 4 Combating all forms of discrimination of Roma people – 1 point

In case of projects submitted under Pre-allocation C:
- The project includes activities from all main categories contributing to the mandatory call objective no 3 Empowering Roma people – 1 point
- The project includes activities from at least two categories contributing to the optional call objective no 4 Combating all forms of discrimination of Roma people – 1 point

1.5 **Context/coherence/synergy with other initiatives**
The project clearly identifies and describes the context in which it shall be implemented – max. 3 points

The project includes a detailed analysis of the complementary initiatives relevant in the field and addressed to target groups in the implementation areas, clearly demonstrating the correlation and complementarity with them and proving that there are no overlaps – max. 2 points

2 **Technical consistency/methodology**

2.1 **Project objectives**
The project objectives are properly identified and formulated (using the SMART approach) and directly contribute to the achievement of the overall objective of the call – 3 points

There is a clear, correct and realistic correlation between objectives – activities – results – impact on the final target group – max. 2 points

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26 A “SMART” objective is specific, measurable, realistic and achievable in the proposed time.
### 2.2 Project activities and results

**In case of projects submitted under Pre-allocation A:**
- The activities are well chosen, realistic, feasible, comply with the applicable legislation and contribute to the achievement of the project objectives and results, are clearly described in detail, their planning is logical/adequate and their immediate results are measured by output indicators – max. 3 points
- The project provides integrated services (support for obtaining identity documents, education services, employment services, health services, improvement of housing conditions) to more than 35% of the Roma persons targeted under Objective 1 – 2 points

**In case of projects submitted under Pre-allocations B or C:**
- The activities are well chosen, realistic, feasible, comply with the applicable legislation and contribute to the achievement of the project objectives and results, are clearly described in detail, their planning is logical/adequate and their immediate results are measured by output indicators – max 5 points

### 2.3 Methodology of the project

The methodology of the intervention is clear, coherent and detailed – max. 3 points

Adequate monitoring measures in relation with the project complexity are included, in order to achieve the results – max. 1 point

The project includes development and/or provision of training courses (for staff/volunteers working with Roma people) accredited as continuous training by relevant authorities or professional bodies (for example, Ministry of Education, College of Social Workers, College of Psychologists, College of Physicians etc) or training courses that follow the curricula and manuals of the Council of Europe – max. 1 point

### 2.4 Stakeholders consultation

The project implements the principles of “Involvement of civil society”, “Active participation of the Roma” and “Involvement of regional and local authorities”, i.e. it was developed in consultation with relevant Roma organisations or networks, or academics, or members of target groups at community level, or with relevant public authorities and envisages a continuous consultation with these stakeholders in the implementation period – max 3 points

PP/partner(s) have amongst their board members at least 50% Roma representatives or at least 20% of their staff/team is Roma – max 2 points

### 2.5 Visibility of the project

The promotion and visibility activities are clearly described, adapted to the target audience, project objectives and programme requirements and present elements of best practice in the field of communication (if the case, the project describes communication messages, channels, products, impact measuring methods etc) – max. 3 points
The project forsees awareness/ promotion activities that stimulate target groups participation, encourages them to become proactive and maximise project results – max 2 points

### 2.6 Innovation and added value
The project contains elements of new and/or innovative approaches in the field of Roma inclusion and empowerment and clearly demonstrates the added value that it brings in relation to its objectives and impact at target group level on medium and long term – max 3 points

The project includes innovative activities/ actions, best practice in the field aiming to change status of Roma people in the following areas: employment (e.g. from unemployed to employed), housing (e.g. becoming an owner or have long term rights of using the premises, including for evicted persons), medical (e.g. being medical insurered) and education (e.g. from non enrolled into education system to attending classes, including second chance) etc – max 2 points

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### 2.7 Risks
The project contains a pertinent risk analysis (determined by the organization’s external or internal environment – e.g. technical, financial etc.) and the measures provided for the prevention or mitigation of their impact are appropriate (the risks do not jeopardize the achievement of the project’s estimated results) - max. 4 points

Pertinent management risks and their corresponding tackling measures are identified – 1 point

---

### 3 Economic efficiency

#### 3.1 Budget justification and correlation with the planned activities
The proposed costs are eligible and directly related to the activities and outcomes – the expenditure calculation manner is clearly and explicitly presented (unit price and number of units, frequency and total expenditure are mentioned, there are no calculation errors etc.), the applicable rules on costs for human resources, indirect costs, depreciation etc. are observed.

#### 3.2 Costs proportionality and necessity
The proposed costs are realistic, not excessive in relation to the objectives, are indispensable for the achievement of the estimated results and the cost/ benefit ratio is positive (there are no cost over or sub-evaluated and the unit costs/ budget prices are within reasonable limits for the profile market at the respective moment, and also for the project specificity).

#### 3.3 Material resources/spaces
The allocated material resources and spaces are adequate as nature, structure and size relative to the proposed activities and expected results.

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### 4. Implementation capacity, partnership and sustainability

#### 4.1 Project team qualification/ experience/ expertise
The structure of the project team (management and implementation) is described in detail and adequate for the project implementation (number and types of positions, functional relationships etc.). Job requirements for the staff proposed in the project (qualification/ competencies, required experience) are adequate for the activities to
be carried out by each; there are clearly defined responsibilities for each position satisfactorily defining each role, the assigned working hours are appropriate; the specialized staff proposed for the project has the qualification/competencies and experience required for the activities to be carried out.

### 4.2 Partners’ experience and technical capacity for implementation
The role and responsibilities of each partner are clearly delimited in the Partnership Agreement – max. 2 points

The PP and its partner/ partners significantly contribute to the project, demonstrating relevant experience and/ or expertise in the field/ area of intervention of the project (e.g. they have carried out similar activities from their own budget and/ or projects with external financing, they have involved experts with relevant competencies and experience etc.) – max. 3 points

### 4.3 Added value of the partnership
Within the partnership, each partner works in complementary areas and in synergy with the other partners – max. 3 points

The partnership includes Roma stakeholders from the field of Roma inclusion and empowerment, relevant in relation with project’s objectives – max. 2 points

### 4.4 Sustainability of the results/ project
The project describes how the obtained results shall be maintained (identifies the activities that shall continue, specifies the service capacity after the end of financing, identifies resources for taking over the costs for services functioning, including the costs for ensuring the maintenance of buildings/ equipment etc.) and ensures that it continues to generate maximum benefits to its target groups and final beneficiaries.

### 4.5 Development/ multiplication of the results
In addition to maintaining the project results, the project identifies and describes possibilities for developing and/ or multiplying these results after the end of financing (e.g. offers feasible/ realistic solutions for broadening the sphere of intervention in terms of target groups, number of beneficiaries, geographic area, proposes public policies at local/ county/ micro- or macro-regional level, etc.).

| Total score | 100 |

Each criterion is divided into sub-criteria. For each sub-criterion, the independent expert will award a score between 0 and 5 points, corresponding to degree of meeting the respective sub-criterion and in full accordance with the maximum points indicated within the above evaluation grid.

In order to be taken into account for funding, the project proposal must meet cumulatively the following conditions:

1. Minimum score requirements:
   - to obtain at least 3 points for each sub-criterion under criterion no. 1 Relevance of the project;

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27 If, at any stage during the content evaluation, the project application does not meet any of these criteria it gets automatically rejected.
- obtain at least 3 points to each sub-criterion under criterion no. 3 Economic efficiency;
- obtain at least 3 points for sub-criteria 4.1, 4.2 and 4.4 under criterion no. 4 Implementation capacity, partnership and sustainability;
- obtain a total score\(^{28}\) of at least 65 points of the 100 possible.

2. Requested documents have been provided by PP at the PO’s request according to Annex 2 to Guide for Applicants – Section II Documents to be submitted after publication by the PO of the List of projects accepted to the content evaluation stage and receipt of PO’s notification.

During desk evaluation, a technical expert will assess all documents/ information related to the infrastructure component and provide the independent evaluators with a technical opinion that shall be considered when scoring the project from the point of view of necessity, opportunity, feasibility, proportionality, cost efficiency and efficacy (sub-criteria/ criteria 2.2, 2.3, 2.7, 3 and 4.4)

After finalizing the desk evaluation, the Ranking list of the project proposals (presented in descending order of the final scores), together with the most relevant remarks of the evaluators, shall be drawn up, per each thematic pre-allocation.

The PO shall submit the list per each thematic pre-allocation to the members of the Selection Committee\(^{29}\) (SC), and they shall decide on the strategy for on-site verification of the projects. Depending on this decision, the PO shall organize the on-site verification of the projects, on behalf of the SC.

The field verification may be performed either for all or for some of the projects proposed for financing. During the field visit, the independent evaluator shall verify the compliance between the information provided in the project file and the field reality. If deemed as necessary, the technical expert shall participate in the field verification, for the verification of the infrastructure component.

Upon the completion of the field verification, the *Ranked list of projects* per each thematic pre-allocation shall be filled-in with the most relevant observations and recommendations of the field evaluators.

For the project applications selected for contracting, additional information may be requested at this stage. The *Ranked list of projects* with all information is made available to SC members.

### 3.3 Projects selection and approval

The SC recommends to the PO the projects to be financed under each thematic pre-allocation, and this may result in the modification of the projects ranking in justified cases. The justification for the change shall be detailed in the minutes of the meetings and all the affected applicants shall be informed in writing on the justification for the change. The SC shall modify the ranking if the field verification report reveals important inconsistencies between the information provided in the file and the reality in the field, shall also seek to avoid double funding/ overlaps with other financed programmes and avoid duplication of projects by the same PP under different calls, will take into consideration geographical distribution, innovative approaches etc. SC may also request the accomplishment of conditions to be fulfilled by PP before PO approval or before the contracting stage.

\(^{28}\) The average between the two scores awarded by the independent evaluators.

\(^{29}\) The Selection Committee (SC) consists of three persons with relevant experience in the field (out of which at least one is external in relation to the PO and its Board). Representatives of the National Focal Point (NFP) within the Ministry of European Funding, of FMO, of Norway Embassy in Romania and of Programme partners (KS and CoE) are invited to attend the SC meetings.
The PO shall verify that the selection process has been carried out in compliance with the provisions of Regulation and that SC recommendations comply with the programme’s rules and objectives. After these verifications, the PO shall make a decision, through its Steering Committee, on the projects that will be financed/ rejected/ put on the reserve list, depending on the available funds.

In case that there are projects on the reserve list under a certain thematic pre-allocation and there have remained funds available under another thematic pre-allocation, PO, in consultation with the Programme partners, may decide to revise the amounts allocated per each thematic preallocation according to the results of the selection process. Subsequently the PO will conclude financing contracts with the PP.

The list of the projects selected for funding will be submitted also to the EEA Financial Mechanism Office (FMO).

Until the final decision is taken, all the documents related to the projects evaluation shall be confidential.

All persons involved in the evaluation process shall sign confidentiality and conflict of interest statements.

3.4 Applicants notification

All the applicants (except for those who submitted projects that were rejected during the formal verification stage and who have already received notifications) shall be notified on the results of the projects evaluation within maximum 15 working days after the final decision of the PO. PO will make efforts to finalize the selection process in about 6 months from the deadline for submission of projects. In the case of projects approved for funding, the notification shall also comprise the conditions to be fulfilled for signing the project financing contract. If there are projects rejected as a result of SC’s decision to change the initial or final ranking of the projects, the applicants affected by this change shall be informed in writing on the justification.

After finalizing the contracting stage, the final list of projects to be financed shall be published on RSDF’s website at www.frds.ro.

3.5 Appeals procedure

The applicants may appeal the rejection of their project proposal only during the formal evaluation stage, in which case they may file an appeal within maximum 30 calendar days from the date of receiving the notification. There is no appeal procedure as concerns the SC’s decision.

3.6 Projects contracting

For each project approved for funding, the PO will conclude a project financing contract, after the accomplishment of conditions established for contracting for each project.

During the project implementation, if necessary, the provisions of the financing contract/its annexes may be mutually amended within certain limits (without causing substantial changes to the initially approved proposal) or by the PO, in the conditions specified in the financing contract. The amendments might be subject of addendums to the financing contract, as the case may be.

3.7 Reporting and payment system

As a rule, the PP shall submit interim reports to the PO, twice a year (for a period of 6-8 months). Also, progress reports (technical details showing progress in project implementation) shall be submitted at 3-4 months (in between interim reports). After finishing the project implementation period, the PP shall send the final report. For each project, the reporting schedule shall be mentioned in the project financing contract.
The programme applies the pre-financing system. The payments to the PPs shall be made by the PO in the form of advance payments, interim payments and payment of the final balance. Depending on the duration of the project, the PP may require an advance between 20 and 40% of the project grant, as provided by the Programme Agreement (section 6.1 Payment flows). The following payments shall be performed after the approval of the interim reports based on the supporting documents submitted by the PP (technical and financial), based on the estimate of expenses for the following period. The project funds (in Lei) shall be transferred to the accounts specifically opened by the PP for the project at the local treasury or at a commercial bank, depending on the type of entity of the PP.

4. Preparation and submission of the project proposal

If the PP calls on the services of a consultant for the preparation of the application/preparation of the application file, the consultant’s name shall be mentioned in the application form, in the specific field of the form.

4.1 Help-desk services and information seminars

During the period when the call for project proposals is open, the PO provides the PPs and their partners, upon request, with help-desk services (information and clarifications). These services are provided in the main office and in the two branch offices.

The applicants may submit questions and requests by e-mail (info@frds.ro) or during direct meetings with PO representatives agreed upon in advance with help-desk operators.

Requests for information and clarifications related to the call may be submitted to the PO no later than 10 working days before the deadline for submission of projects within the call. Subsequent requests will no longer be answered.

The PO shall to its best as to answer to the questions received from the potential applicants within 3 working days after receiving the message. PO will not answer questions which suppose verification of eligibility or content evaluation of activities, results etc. referred to by the PP in the question.

The most frequent and relevant Questions and Answers on the call papers shall be published on the PO’s website at www.frds.ro. These shall be updated periodically, depending on the questions received from potential applicants. We also recommend periodic consultation of RSDF’s site in order to get access to additional and/or updated information, respectively prior access to the Questions and Answers section, before calling the help-desk.

Also, after launching the call, the PO will organize, for the potential applicants under this call, 3 information regional seminars, one in each of the following locations: Cluj-Napoca, Craiova and Iasi. The period for the organization of the seminars is 11 -17 September 2019.

In addition, on 20th of September 2019, PO will organise a match-making seminar for identifying possible future partners from Iceland, Liechtenstein and Norway in order to commonly elaborate and implement projects under this call.

More precise information on the date and venue of these events shall be communicated by the OP on the webpage of the programme www.frds.ro and on the Facebook page of the Programme, https://www.facebook.com/dezvoltare.locala/?ref=bookmarks.

4.2 Project proposal file preparation

The application form must be filled in according to the requirements described in the Guide for Applicants (both documents are published on PO’s website at: www.frds.ro).
When preparing the application file, the PP shall make sure to comply with the form and content requirements mentioned in the documents of this call under section 3.1, Formal verification stage. Otherwise, the application file shall be rejected at that stage.

The grant application and all its annexes shall be all drafted in Romanian, on the templates provided by the PO. In case one of the partners is from Donor States, its documents (including for example the Letter of intent, CVs, legal status) shall be translated into Romanian language and become part of the application.

The grant application shall be accompanied by a package of documents (e.g. activities implementation schedule, budget justification, partnership agreement, communication and promotion plan, eligibility statements from the PP and project partners, documents proving the legal status of the PP and partners, CVs of the staff, technical documentation for construction works, etc.). The list of required documents to be submitted together with the grant application is available in the Guide for Applicants.

PP and partners shall verify the conformity and compliance of the project application file by completing the Conformity check list (see the template provided in the Guide for Applicants).

Please note that in case the PO will publish a corrigendum/ corrigenda, during the period the call is open, it is compulsory that the application proposal is in line with the latest approved and published forms/ documents/ annexes etc., otherwise this will automatically lead to the rejection of the application file at the formal (administrative) verification stage.

4.3 Project proposal submission

The project proposal file (consisting of one printed copy and an identical copy in electronic format) shall be sent to PO’s office in Bucharest, such as to be received by the PO before the deadline – December 18nd, 2019, 16:00, either in person or by courier/ mail (with acknowledgment receipt).

We highly recommend to send the grant application file in due time such as to reach PO’s office until the set date and time, as the PO does not assume any responsibility for the delays due to postal or courier services.

The files submitted by fax or e-mail, as well as files sent at addresses other than the mentioned one or after the announced deadline, shall be rejected.

After the deadline for submitting the projects, the PP can no longer request to make corrections or revisions of the project proposal file.

The documents for the project proposal and the electronic copy of the file will be presented in a sealed envelope / package, and the envelope / package shall bear the following information:

EEA Grants 2014-2021
Programme “Local Development, Poverty Reduction and Enhanced Roma Inclusion"
Call for proposals: “Enhancing Roma Inclusion and Empowerment”
Thematic Pre-allocation: …
Project title:……
Name of applicant:……

The envelope / package will be sent to the PO’s office at the address below:
At any time before the PO’s approval, the PP may choose to withdraw the project proposal from the competition. In this case, the PO shall return, upon request, the original file of the financing application and keep the electronic copy.

5. Further useful information

For a better understanding of the specificities of the EEA and Norway Grants 2014-2021 and the overall project framework, we recommend consulting the following documents (the list is not limited):

- Memorandum of Understanding signed between Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Government of Romania on the implementation of the EEA Financial Mechanism 2014-2021 https://eeagratings.org/content/download/12297/161163/version/6/file/RO+EEA+MoU_Amended+10+April+2017.pdf;


- Program Agreement signed between the NFP and the donor states’ representatives for the implementation of the programme https://www.eeagratings.ro/files/upload-dir/46-acord-de-program-dezvoltare-locala-2014-2021.pdf as subsequently amended;

- Regulation (EU) 2016/679 on the protection of individuals with regard to the processing of personal data and on the free movement of such data https://publications.europa.eu/en/publication-detail/-/publication/3e485e15-11bd-11e6-ba9a-01aa75ed71a1/language-en;


- Law 101/2016 on remedies and remedies in connection with the award of public procurement contracts, sectoral contracts and works concession and services concessions contracts, as well as for the organization and operation of CNSC, as subsequently amended and supplemented http://www.frds.ro/uploads/files/RO99_LEGISLATIE/10.Legea%20101%20pe%202016.pdf;

- Order of the Minister of European Funds no. 1284 regarding the approval of the competitive procedure applicable to the private applicants / beneficiaries for the award of services or


- Government Decision 875/2011 for approving the Methodological Norms for the application of the provisions of Government Emergency Ordinance no. 66/2011 on the prevention, detection and sanctioning of the irregularities in obtaining and using the European funds and / or the related national public funds;


- Government Emergency Ordinance 16/2019 for modification of art. 5(2) of the Emergency Ordinance 98/2017 regarding the function of ex-ante control of the award process of sectorial contracts/framework agreements and of works and services contracts;

Annex to the Call for Proposals “Enhancing Roma Inclusion and Empowerment”

OVERVIEW OF PROGRAMME INDICATORS AND TARGETS AT PROJECT LEVEL

Pre-allocation A: Service provision projects

<table>
<thead>
<tr>
<th>Call objective(s)</th>
<th>Mandatory indicators and targets per objective</th>
<th>Optional indicators and targets per objective</th>
<th>Eligibility criteria / content evaluation criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)</td>
<td>(b)</td>
<td>(c)</td>
<td>(d)</td>
</tr>
<tr>
<td></td>
<td>Mandatory objective</td>
<td>Optional indicators and targets per objective</td>
<td>Eligibility criteria / content evaluation criteria</td>
</tr>
<tr>
<td></td>
<td>Objective no 1 (service provision): Increasing the access and quality of sectorial or integrated services for Roma</td>
<td>IP01: Number of awareness raising campaigns targeting Roma - minimum 1 campaign</td>
<td>Eligibility criteria:</td>
</tr>
<tr>
<td></td>
<td>The total value of the following indicators must be of <strong>minimum 425 persons</strong>:</td>
<td>IP02: Number of Roma individuals with ID documents secured</td>
<td>- The project must address the Objective 1 (Service provision) and the corresponding mandatory indicators and targets <em>(see column b)</em></td>
</tr>
<tr>
<td></td>
<td>- IP02: Number of Roma individuals with ID documents secured</td>
<td>IP04: Number of Roma that have received education services</td>
<td>- The project must be implemented in minimum 2 counties if the grant requested is over 500.000 EUR</td>
</tr>
<tr>
<td></td>
<td>- IP04: Number of Roma that have received education services</td>
<td>IP05: Number of Roma that have received employment services</td>
<td>Content evaluation criteria:</td>
</tr>
<tr>
<td></td>
<td>- IP05: Number of Roma that have received employment services</td>
<td>IP06: Number of Roma that have received health services</td>
<td>- The project commits to meet the minimum targets of all the optional indicators <em>(see column c)</em> under Objective 1 (Service provision) – 1 point</td>
</tr>
<tr>
<td></td>
<td>- IP06: Number of Roma that have received health services</td>
<td>IP07: Number of Roma that have received housing services</td>
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<tr>
<td></td>
<td>- IP07: Number of Roma that have received housing services</td>
<td>IP08: Number of Roma that have received integrated services</td>
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</tr>
<tr>
<td></td>
<td>- IP08: Number of Roma that have received integrated services</td>
<td>IP09: Number of counties where social inclusion projects are provided to Roma - <strong>minimum 2 counties</strong> for each grant over 500.000 EUR</td>
<td></td>
</tr>
<tr>
<td></td>
<td>IP09: Number of counties where social inclusion projects are provided to Roma - <strong>minimum 2 counties</strong> for each grant over 500.000 EUR</td>
<td>IP10: Number of persons reached by awareness raising campaigns - minimum 500 people reached by each campaign</td>
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</tr>
<tr>
<td></td>
<td>IP10: Number of persons reached by awareness raising campaigns - minimum 500 people reached by each campaign</td>
<td>IP11: Number of awareness raising campaigns targeting Roma - minimum 1 campaign</td>
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<tr>
<td></td>
<td>IP11: Number of awareness raising campaigns targeting Roma - minimum 1 campaign</td>
<td>IP12: Number of people reached by awareness raising campaigns - minimum 500 people reached by each campaign</td>
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<tr>
<td></td>
<td>IP12: Number of people reached by awareness raising campaigns - minimum 500 people reached by each campaign</td>
<td>IP13: Number of professionals trained in working with Roma - <strong>minimum 10 persons</strong></td>
<td></td>
</tr>
</tbody>
</table>

*30 For a complete list of eligibility and content evaluation criteria applicable to each pre-allocation, please consult section 3 of the Call for proposals.*
<table>
<thead>
<tr>
<th>Call objective(s)</th>
<th>Mandatory indicators and targets per objective</th>
<th>Optional indicators and targets per objective</th>
<th>Eligibility criteria / content evaluation criteria³⁰</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)</td>
<td>(b)</td>
<td>(c)</td>
<td>(d)</td>
</tr>
<tr>
<td><strong>Optional objective</strong></td>
<td><strong>Objective no 3 (empowerment):</strong> Empowering Roma people</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mandatory indicators in case that optional Objective no 3 (Empowerment) is targeted:</td>
<td>▪ IP03: Number of Roma reached by empowerment measures - minimum 150 persons</td>
<td>Optional indicators</td>
<td></td>
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<tr>
<td></td>
<td>▪ IP14: Number of Roma experts and leaders trained to defend Roma rights - minimum 45 persons</td>
<td>▪ IP11: Number of awareness raising campaigns targeting Roma - minimum 1 campaign</td>
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<td></td>
<td></td>
<td>▪ IP12: Number of people reached by awareness raising campaigns - minimum 500 people reached by each campaign</td>
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<tr>
<td></td>
<td></td>
<td>▪ IP13: Number of professionals trained in working with Roma - min 20 persons</td>
<td></td>
</tr>
<tr>
<td><strong>Optional objective</strong></td>
<td><strong>Objective no 4 (anti-discrimination):</strong> Combating all forms of discrimination of Roma people</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mandatory indicators in case that optional Objective no 4 (Anti-discrimination) is targeted:</td>
<td>▪ IP01: Level of acceptance by majority population (within the project intervention areas) of Roma - min 10% increase</td>
<td>Optional indicators</td>
<td></td>
</tr>
<tr>
<td></td>
<td>▪ IP10: Number of awareness raising campaigns targeting majority population - minimum 1 campaign</td>
<td>▪ IP15: Number of anti-discrimination tools developed - minimum 1 anti-discrimination tool</td>
<td></td>
</tr>
<tr>
<td></td>
<td>▪ IP12: Number of people reached by awareness raising campaigns - minimum 500 people reached by each campaign</td>
<td>▪ IP13: Number of professionals trained in working with Roma - no minimum target</td>
<td></td>
</tr>
</tbody>
</table>
Pre-allocation B: Capacity building projects for existing educational /after school /day care centres

<table>
<thead>
<tr>
<th>Call objective(s)</th>
<th>Mandatory indicators and targets per objective</th>
<th>Optional indicators and targets per objective</th>
<th>Eligibility criteria / content evaluation criteria[^31]</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)</td>
<td>(b)</td>
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<td>(d)</td>
</tr>
<tr>
<td><strong>Mandatory objective</strong></td>
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<tr>
<td><strong>Objective no 2 (existing centres):</strong> Consolidating the capacity of existent educational / after school / day care centres working with a high percentage of Roma children and youth</td>
<td>▪ IP13: Number of professionals trained in working with Roma - <strong>min 100 persons</strong>&lt;br&gt;▪ IP11: Number of awareness raising campaigns targeting Roma - <strong>minimum 1 campaign</strong>&lt;br&gt;▪ IP12: Number of people reached by awareness raising campaigns - <strong>minimum 500 people reached by each campaign</strong></td>
<td>N.A.</td>
<td>Eligibility criteria:&lt;br&gt;- The project must address the Objectives 2, 3 and 4 and the corresponding mandatory indicators and targets (<strong>see column b</strong>)&lt;br&gt;- The project must target minimum 5 centres&lt;br&gt;Content evaluation criteria:&lt;br&gt;- The project targets more than the minimum 5 existent centres – max. 2 points (1 point if it targets 6 centres and 2 points if it targets from 7 centres onwards)</td>
</tr>
<tr>
<td><strong>Mandatory objective</strong></td>
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<td></td>
<td></td>
</tr>
<tr>
<td><strong>Objective no 3 (empowerment):</strong> Empowering Roma people</td>
<td>▪ IP03: Number of Roma reached by empowerment measures - <strong>minimum 150 persons</strong>&lt;br&gt;▪ IP14: Number of Roma experts and leaders trained to defend Roma rights - <strong>minimum 45 persons</strong></td>
<td>Optional indicators&lt;br&gt;- IP11: Number of awareness raising campaigns targeting Roma – no minimum target&lt;br&gt;- IP12: Number of people reached by awareness raising campaigns - no minimum target&lt;br&gt;- IP13: Number of professionals trained in working with Roma - no minimum target</td>
<td></td>
</tr>
<tr>
<td><strong>Mandatory objective</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Objective no 4 (anti-discrimination):</strong></td>
<td>▪ IP01: Level of acceptance by majority population (within the project intervention areas) of Roma - <strong>minimum 10% increase</strong>&lt;br&gt;▪ IP10: Number of awareness raising</td>
<td>Optional indicators&lt;br&gt;- IP15: Number of anti-discrimination tools developed - no minimum target</td>
<td></td>
</tr>
</tbody>
</table>

[^31]: For a complete list of eligibility and content evaluation criteria applicable to each pre-allocation, please consult section 3 of the Call for proposals.
### Call objective(s)

<table>
<thead>
<tr>
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<tbody>
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</tbody>
</table>
| Combating all forms of discrimination of Roma people | campaigns targeting majority population - **minimum 1 campaign**  
- IP12: Number of people reached by awareness raising campaigns - **minimum 500 people** reached by each campaign | IP13: Number of professionals trained in working with Roma - no minimum target |

### Pre-allocation C: Empowerment projects

<table>
<thead>
<tr>
<th>Call objective(s)</th>
<th>Mandatory indicators and targets per objective</th>
<th>Optional indicators and targets per objective</th>
<th>Eligibility criteria / content evaluation criteria</th>
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<tbody>
<tr>
<td>(a)</td>
<td>(b)</td>
<td>(c)</td>
<td>(d)</td>
</tr>
</tbody>
</table>
| Mandatory objective  
Objective no 3 (empowerment): Empowering Roma people | - IP03: Number of Roma reached by empowerment measures - **minimum 150 persons**  
- IP09: Number of counties where social inclusion services are provided to Roma - minimum 2 counties for each grant over 500,000 EUR  
- IP14: Number of Roma experts and leaders trained to defend Roma rights - **minimum 45 persons** | Optional indicators  
- IP11: Number of awareness raising campaigns targeting Roma - minimum 1 campaign  
- IP12: Number of people reached by awareness raising campaigns - minimum 500 people reached by each campaign  
- IP13: Number of professionals trained in working with Roma - min 20 persons | Eligibility criteria:  
- The project must address the Objective 3 (Empowerment) and the corresponding mandatory indicators and targets (see column b)  
- The project must be implemented in minimum 2 counties if the grant requested is over 500,000 EUR  
Content evaluation criteria:  
- The project commits to meet the minimum targets |

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32 For a complete list of eligibility and content evaluation criteria applicable to each pre-allocation, please consult section 3 of the Call for proposals.
<table>
<thead>
<tr>
<th>Call objective(s)</th>
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<td>(a)</td>
<td>(b)</td>
<td>(c)</td>
<td>(d)</td>
</tr>
<tr>
<td><strong>Optional objective</strong></td>
<td></td>
<td></td>
<td>of all the optional indicators (see column c) under Objective 3 (Empowerment) – 1 point</td>
</tr>
</tbody>
</table>
| **Objective no 4 (anti-discrimination):** Combating all forms of discrimination of Roma people | Mandatory indicators in case that optional Objective no 4 (Anti-discrimination) is targeted:  
- IP01: Level of acceptance by majority population (within the project intervention areas) of Roma - minimum 10% increase  
- IP10: Number of awareness raising campaigns targeting majority population - minimum 1 campaign  
- IP12: Number of people reached by awareness raising campaigns - minimum 500 people reached by each campaign | Optional indicators  
- IP15: Number of anti-discrimination tools developed - minimum 1 anti-discrimination tool  
- IP13: Number of professionals trained in working with Roma - no minimum target | Content evaluation criteria:  
- The project targets the optional call objective no 4 (anti-discrimination) and its corresponding mandatory indicators and targets (see column b) – max 2 points  
- The project commits to meet the minimum targets of all the optional indicators (see column c) corresponding to the optional objective no 4 – 1 point |